JPL Document No. D-xxxx

CloudSat Project A NASA Earth System Science Pathfinder Mission

# Level 2 Combined Radar and Lidar Cloud Scenario Classification Product Process Description and Interface Control Document

Version: 1.0 Date: 22 May, 2012



Approvals

Date

Zhien Wang Data Product Algorithm Lead

Date\_\_\_\_\_

Deborah Vane Deputy Project Scientist

\_Date\_\_\_\_

Graeme Stephens Project Scientist

Date\_\_\_\_

Donald Reinke Data Processing Center Lead Engineer

Date

TBD "Receiver(s) of this data up the Level 2 Chain"

Questions concerning the document and proposed changes shall be addressed to

Zhien Wang 307-766-5356 zwang@uwyo.edu

# Contents

Contents	3
1. Introduction	4
2. Algorithm Theoretical Basis	8
3. Algorithm Inputs	. 14
3.1. CloudSat	. 14
3.1.1. Cloud profiles from 2B-GEOPROF and 2B-GEOPROF-lidar products	. 14
3.2. Ancillary (Non-CloudSat)	. 15
3.2.1. CALIOP	. 15
3.2.1.1 Lidar level 1 attenuated backscattering coefficients	
3.2.1.2 Lidar cloud mask at the CloudSat horizontal resolution	. 15
3.2.2. MODIS	. 15
3.2.3. ECMWF	. 15
3.2.4. Coastline Map	. 15
3.2.5. Topographical Map	. 16
3.3. Input Variable Summary	. 16
3.4. Control and Calibration	. 16
4. Algorithm Summary	. 17
4.1. Cloud clustering analysis	
4.2. The flowchart of cloud scenario classification	. 19
4.3. Classification Method	. 19
4.4. Precipitation Identification	. 25
4.5. Cloud Phase Identification	
5. Data Product Output Format	
6. Operator Instructions	. 30
7. References	. 35
8. Acronym List	. 37
9. Open Issues	. 37
10. The appendix A: The Interface Control Document	. 38

#### 1. Introduction

A great strength of microwave radar measurements of clouds and precipitation is the ability to retrieve quantitative content data from the radar reflectivity factor Z. This is made possible by devising algorithms based on empirical relationships between Z and various microphysical parameters, such as ice water content IWC or rainfall rate. However, because of the diversity of microphysical conditions found in the atmosphere, algorithms need to be applied only to those conditions for which they are considered valid. In other words, it is first necessary to identify the target and then select an appropriate algorithm. This is true to implement sophisticated multi-senor retrieval algorithms. The algorithm selection process depends on such basic factors as cloud phase, and also the hydrometeor density, shape, and size distribution. For example, although cirrus, altostratus, and the upper portions of cumulonimbus clouds are all predominantly ice phase clouds, it is not possible to apply a single algorithm for retrieving IWC in these targets: cirrus generally contain only single ice crystals, altostratus likely contain lowdensity ice crystal aggregates at the warmer temperatures, and cumulonimbus may combine ice crystals, snowflakes, rimed particles, graupel, and even hailstones. Due to the different radiative forcings of various cloud types (Hartmann et al. 1992; Chen et al. 2000), classifying clouds into categories based on type is also an important task for cloud remote sensing and global cloud climatology studies.

As the first step in converting the vertical profiles of Z from CloudSat into meaningful microphysical data quantities, we are developing an algorithm for identifying cloud type and precipitation. However, measurements of cloud radar alone cannot provide necessary information for cloud scenario classification. The formation fly (A-train) of Aqua, CloudSat and CALIPSO provides other cloud information from lidar and passive radiometer measurements. As described here, we identify eight basic cloud types that are recognized by surface observers internationally by combining information available mainly from the CloudSat and CALIPSO satellites.

a) Different detection sensitivities of lidar and cloud radar

The main difference between lidar and cloud radar is their working wavelengths: optical wavelength for lidar and milimeter wavelength for cloud radar. Table 1 provides the specifications of CloudSat Cloud Profiling Radar (CPR) and CALIPSO lidar (CALIOP). The different wavelengths result in different sensitivities of lidar and radar for cloud particles. Lidar is sensitive enough to detect clouds and aerosols in the troposphere, but its short wavelength results in strong attenuation from them and limits its ability to penetrate optically thick clouds to detect any thing beyond them. Lidar, especially space based, is more suitable to profile high and mid-level clouds due to their lower optical thicknesses than low-level clouds. Cloud detection with milimeter cloud radar (k-band and w-band) has some distinct advantages compared to lidar. For eample, 8-mm and 3-mm cloud radars can penetrate optically thick clouds to detect multi-layer cloud systems. However, its long wavelength limits its capability to detect millevel supercooled water

clouds with relatively small water droplets or cold ice clouds with low concentrations of small ice crystals. However, for mixed phase clouds or water clouds with drizzle, cloud radar signals are dominated by the backscatter of ice particles or drizzle-size drops.

Tuble 1. The specifications of CTR and CALIOT					
	CALIOP	CPR			
λ	0.532/1.06 μm	3200 µm			
Pulse Width	~10 ns	3.3/33.3 µsec			
PRF	~20 Hz	4300/800Hz			
$P_t(\lambda)$	$10^{5}$ - $10^{6}$ W (peak)	~270 W (avg)			
Scatter of Cloud particles	Mie scatter	Rayleigh/Mie scatter			
Backscattering of cloud particles	$\propto D^2$	$\propto$ D <sup>6</sup> / $\propto$ D <sup>2</sup>			
Attenuation of Clouds	Strong	Weak			

Table 1: The specifications of CPR and CALIO	Table 1	: The s	pecification	s of CPR	and CALIOI
--	---------	---------	--------------	----------	------------

Their different sensitivities are illustrated with following examples. Figure 1 presents examples from ground-based lidar and radar Measurements. The left side of Fig. 1 is a midlatitude case, and shows that cloud radar (top) misses to detect supercooled water clouds, which are optically thick enough to attenuate lidar to detect high cirrus clouds. Another interesting point is that cloud radar detects strong signal from virga because it is much sensitive to larger particles than lidar. The right side of Fig. 1 presents a case from the Arctic, and different cloud images are seen from lidar and radar measurements for precipitating altocumulus clouds. Lidar measurements show strong signals for supercooled water-dominated source clouds whereas radar measurements show strong signals for ice virga because of their different sensitivities to cloud particle sizes.

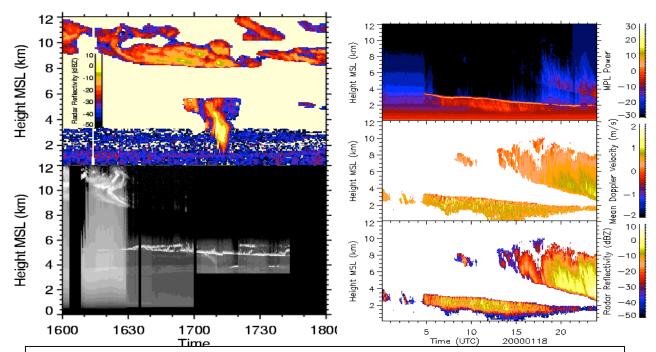
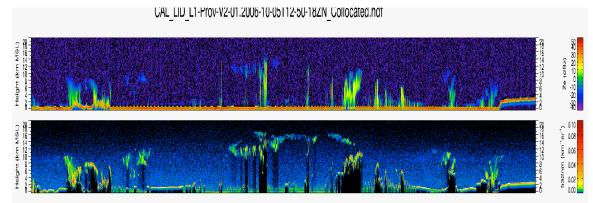


Figure 1. Co-located ground-based lidar and radar measurements. Left: Cloud radar Ze (top) and lidar return (bottom) for middle-level and high cloud layers observed at the SGP site. Right: the time-height display of lidar return (top), mean Doppler velocity (middle) and Ze profiles (bottom) for mixed-phase and ice cloud layers observed at the NSA site.

Figure 2 show a collocated CloudSat CALIPSO example. It is clear that lidar can detect optically thin cirrus clouds in the tropics that are missed by the radar, and lidar signals are strongly attenuated by the optically thick clouds. On the other hand, radar shows better capabilities to profile optically think clouds and moderate precipitation. But radar has some difficulties to detect stratocumulus without drizzle.



**Figure 2**. Collocated CloudSat (top) and CALIPSO lidar (532 nm) lidar measurements over a half nighttime orbit on 5 October 2006.

b) Different vertical and horizontal resolutions of CPR and CALIOP

The CPR and CALIOP profile cloud 2-D structure at different horizontal and vertical resolutions as given in Table 2. For clouds below 8.2 km, a CPR footprint contains  $\sim 12$  CALIOP footprints, thus CALIOP measurements are able to provide fine cloud structure within a CPR footprint. This fine structure is important to characterize cumulus and stratocumulus clouds. The CALIOP also has better vertical resolution than that of CPR, which is important for geometrically thin cloud layer detection, such as altocumulus.

Altitude Region (km)	Horizo (km	Vertical (meters)		
	CPR	CALIO	P CPR	CALIOP
-2.0 to -0.5		1/3		300
-0.5 to 8.2	1.4x1.8	1/3	500/240	30
8.2 to 20.2	1.4x1.8	1	500/240	60
20.2 to 25.0	1.4x1.8	5/3	500/240	180
25.0 to 30.1		5/3		180
30.1 to 40.0		5		300

 Table 2:
 The horizontal and vertical resolutions of CPR and CALIOP

In summary, combining lidar and radar measurements provide better cloud detection and characterization because of their unique complementary capabilities. Now combining radar and lidar measurements are widely used for cloud studies from cloud macrophysical and microphysical properties. CloudSat and CALIPSO satellites will provide us first

opportunity to study cloud from space by combining lidar and radar measurements. In general, cloud optical thickness decreases with altitude (as temperature decrease), thus lidar has more chances to penetrate high and midlevel clouds than low-level clouds. Therefore, there are more advantages to combine lidar and radar measurements from space than from ground. In this document, we discuss how to combine CPR and CALIOP measurements for the cloud phase determination and cloud scenario classification. Combining CPR and CALIOP measurements for ice cloud microphysical property retrievals is provided by the 2C-ICE product (Deng et al. 2010).

# 2. Algorithm Theoretical Basis

Algorithms based on different cloud spectral, textural, and physical features have been developed for cloud classification from satellites (Welch et al. 1992; Tovinkere et al. 1993: Bankert 1994: Luo et al. 1995: Rossow and Schiffer 1999). The International Satellite Cloud Climatology Project (ISCCP) (Rossow and Schiffer 1999) uses the combination of cloud top pressure and cloud optical depth to classify clouds into either cumulus (Cu), stratocumulus (Sc), stratus (St), altocumulus (Ac), altostratus (As), nimbostratus (Ns), cirrus, cirrostratus, or deep convective clouds. Table 3 shows the basic features of these different cloud types (WMO 1956; Parker 1988; Uddstrom and Gray 1996; Moran et al. 1997). However, with more long-term ground-based remote sensing cloud studies underway, algorithms to classify cloud type using ground-based measurements were developed. Wang and Sassen (2001) developed an algorithm to classfy clouds by combining the measurements of ground-based multiple remote sensors. Duchon and O'Malley (1999) studied the possibility of classifying clouds according to ground- based solar flux measurements. Williams et al. (1995) developed an algorithm to classify precipitating clouds into either stratiform, mixed stratiform, convective, and deep or shallow convective clouds using 915-MHz wind profile data.

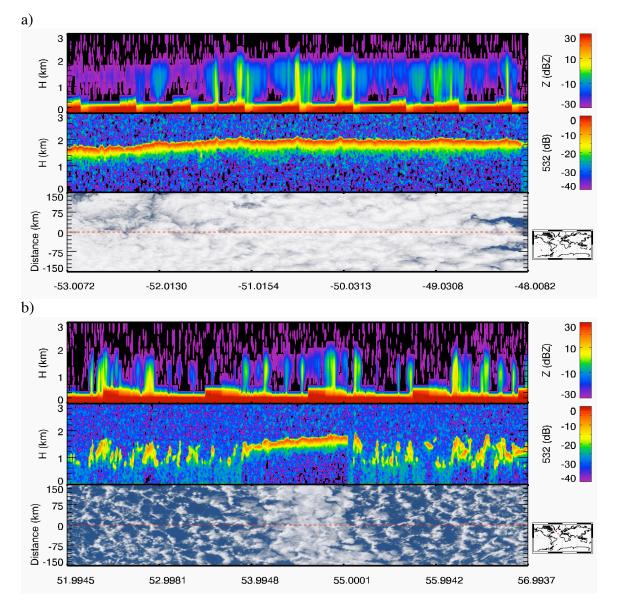
In this document, we present a new algorithm for CloudSat to classify clouds into either St, Sc, Cu, Nb, Ac, As, deep convective, or high cloud by combining space-based active (CPR and CALIOP) and passive remote sensing (MODIS) data. The class of high cloud includes cirrus, cirrocumulus, and cirrostratus, and Cu cloud represents cumulus congestus and fair weather cumulus. These types may be further classified into sub-types to refine IWC and LWC retrievals.

**Table 3** Characteristic cloud features for the major cloud types derived from numerous studies (midlatitude). Our cloud type identification algorithm is based on many of these characteristics. Heights are above ground level.

Cloud Class		Cloud Features
High Cloud	Base	> 7.0 km
	Rain	no
	Horiz. Dim.	1 to 10 <sup>3</sup> km
	Vert. Dim.	moderate
	LWP	=0.
As	Base	2.0-7.0 km
	Rain	none
	Horiz. Dim.	10 <sup>3</sup> km, homogeneous
	Vert. Dim.	moderate
	LWP	$\sim$ 0, dominated by ice
Ac	Base	2.0-7.0 km
	Rain	virga possible
	Horiz. Dim.	10 <sup>3</sup> km, inhomogeneous
	Vert. Dim.	shallow or moderate
<u></u>	LWP	>0
St	Base	0-2.0 km
	Rain	none or slight
	Horiz. Dim.	10 <sup>2</sup> km, homogeneous
	Vert. Dim. LWP	shallow > 0.
Sc		02.0 km
Sc	Base	
	Rain	drizzle or snow possible
	Horiz. Dim.	10 <sup>3</sup> km, inhomogeneous
	Vert. Dim.	shallow
	LWP	> 0.
Cu	Base	0-3.0 km
	Rain	drizzle or snow possible
	Horiz. Dim.	1 km or larger, isolated
	Vert. Dim.	shallow or moderate
	LWP	> 0.
Nb	Base	0-4.0 km
	Rain	prolonged rain or snow
	Horiz. Dim.	50 -1000 km
	Vert. Dim.	thick
	LWP	> 0.
Deep convective clouds	Base	0-3.0 km
	Rain	intense shower of rain or hail possible
	Horiz. Dim.	10 km or large
	Vert. Dim.	thick
	LWP	> 0.
		[ ~·

#### a. Measurements used for cloud classification

We classify clouds by using vertical and horizontal cloud properties, the presence or absence of precipitation, cloud temperature, and upward radiance from MODIS measurements. The CPR and CALIOP provide vertical cloud profiles and horizontal extent of clouds, which provide important information to differentiate cloud types. Figure 3 displays CloudSat CPR and CALIPSO CALIOP measurements for close and open cell stratocumus, which clearly show different sensitivities of lidar and radar for cloud and precipitiation measurements. This figure also shows horizontal and vertical varibility for different types of clouds.



**Figure 3**: Examples of '3-D' cloud measurements from the A-train satellites: a) close cell stratocumulus and b) open and clous cell stratocumulus. For each

example, from top to bottom are CloudSat Ze, CALIPSO lidar attenuated backscattering, and MODIS true color image.

In addition to active remote sensing data, MODIS radiance measurements from Aqua satellite can be incorporated into the algorithm. Cloud spectral, and textural features derived from radiance data are important supplementary information to cloud vertical and horizantal extents from active remote sensors. However, these MODIS measurements are mainly useful for single layer cloud system. Combing active and passive remote sensing from space provides kind of three-dimensional cloud structure as showed in the Fig. 3.

The CALIOP also provides lidar linear depolarization ratios ( $\delta$ ) of clouds, which can be used for cloud phase discrimination in principle (Sassen 1991). However, the strong influence of multi-scattering on  $\delta$  for space-based lidar measurements limits the potential of using  $\delta$  directly. Combining  $\delta$ , attenuated backscattering coefficient and Z<sub>e</sub> profiles provide better cloud phase discrimination. Section 4.5 will provide more details on the cloud phase identification by combining CloudSat and CALIPSO measurements.

Table 4 lists important 2-D cloud structures and properties contributed by CPR and CALIOP measurements for different cloud types. It is clear that combined CPR and CALIOP measurements provide improved cloud macrophysical properties.

	/1	
	CPR	CALIOP
High clouds	Boundaries	Fine Boundaries
Altostratus	Boundaries, Max Z <sub>e</sub>	Phase, Upper boundary
Altocumulus		Phase, Boundaries
Altocumulus + virga	Boundaries, Max Z <sub>e</sub>	Phase, Boundaries
Cumulus	Boundaries, Max Z <sub>e</sub>	Fine horizontal structure
Stratus and	Boundaries, Max Z <sub>e</sub>	Phase, <b>Upper boundary</b>
stratocumulus		
Nimbostratus	Boundaries, Max Z <sub>e</sub>	Upper boundary
Deep Convective	Boundaries, Max Z <sub>e</sub>	Upper boundary
Multi-layer cloud	Boundaries, Max Z <sub>e</sub> for	Phase and boundaries of <b>upper</b>
system	each layer	layer/layers

**Table 4:** Contributions of CPR and CALIOP measurements on cloud 2-D Structure for different cloud types.

Cloud temperature (T) derived from ECMWF predictions is an important cloud property. Using our ground-based cloud classification results (Wang and Sassen 2001), we derive the frequency distribution of different cloud types in maximum  $Z_e$  and T (at maximum  $Z_e$  height) space (see Fig. 4a and 4b). The features displayed in Fig. 4 are consistent with cloud physics and the microphysical properties of different cloud types.

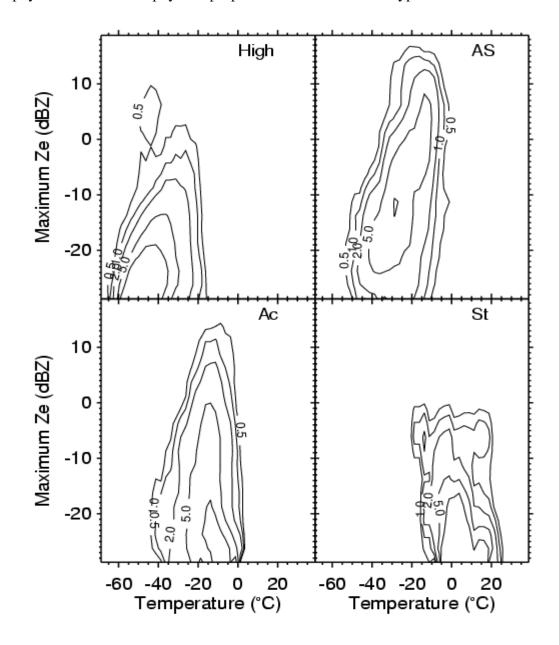


Figure 4a: Frequency distribution of different types of clouds in the temperature and maximum  $Z_e$  space.

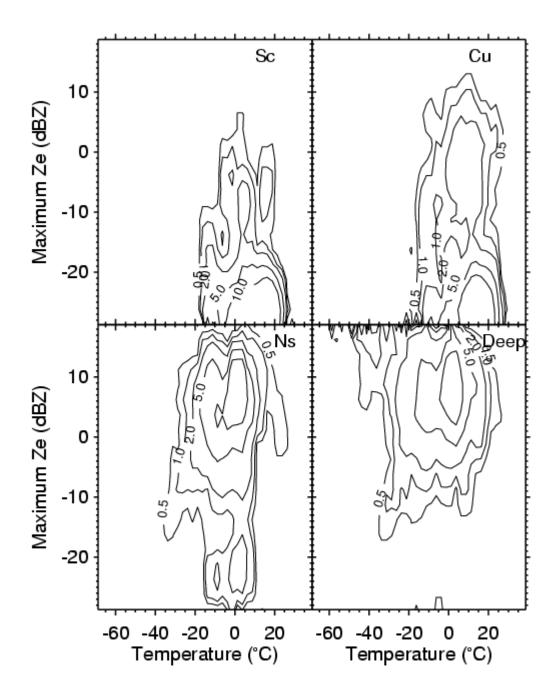


Figure 4b: Frequency distribution of different types of clouds in the temperature and maximum  $Z_e$  space.

#### b. Methodology

Role-based classification methods, which assigns different threshold values to characteristic parameters, are simple and easy to use methods, but the results are sensitive to the selection of the thresholds. Instead of using Boolean logic, the proper use of fuzzy logic can improve the results of cloud classification (Penoloza and Welch 1996). The approach of using neural networks to classify cloud type in satellite imagery has shown recent success (Welch et al. 1992; Bankert 1994). The network is trained on selected spectral, textural, and physical features associated with expertly labeled samples. The trained network is subsequently applied to unknown cloud samples. However, these new classification techniques can not guarantee better performance, which depends on how properly designed the classifier is and the selection of features (Tovinkere et al. 1993).

Combined rule-based and fuzzy logic classification approach is used in this algorithm. We use the following strategy to classify clouds. First, combined radar and lidar cloud mask results are used to find a cloud cluster according to their persistence in the horizontal and vertical directions. A minimum horizontal extent for a cluster is required, therefore, a cloud cluster permits horizontally broken, but vertically similar cloud fields.

Once a cloud cluster is found, cloud height, temperature, and maximum  $Z_e$ , as well as the occurrence of precipitation, are determined. Then the cluster mean properties as well as spatial inhomogeneties in terms of cloud top height, lidar and radar maximum signals are sent to a fuzzy classifier to classfy the cluster into one cloud type with an assigned confidence level.

# 3. Algorithm Inputs

# 3.1. CloudSat

# 3.1.1. Cloud properties from 2B-GEOPROF and 2B-GEOPROF-lidar products

 $CPR-Z_e$  profiles and combined lidar and radar boundaries provide horizontal and vertical cloud structure and are main inputs for cloud scenario classification. Inputs from these two products are:  $Z_e$  profile, radar cloud mask, geolocation, altitude of each radar bin, surface bin number, and cloud layer information.

# 3.2. Ancillary (Non-CloudSat)

#### 3.2.1. CALIOP

#### 3.2.1.1 Lidar level 1 attenuated backscattering coefficients

In the Lidar-AUX product, CALIOP attenuated backscattering coefficients at different horizontal resolutions are averaged to the CloudSat horizontal resolution. But it keeps the data at the native CALIOP vertical resolutions for better cloud boundary and phase identifications.

#### 3.2.1.2 Lidar cloud mask at the CloudSat horizontal resolution

This is provided by the Lidar-AUX product, which identifies clouds based on lidar attenuated backscattering coefficients at CloudSat horizontal and CALIPSO vertical resolutions. Cloud fractions within CloudSat footprints are also provided in this product by using fine horizontally resolved CALIPSO measurements.

#### 3.2.2. MODIS

At this point, we plan to use MODIS radiance data of channel 1, 2, 26, 29, 31, and 32 (Ackerman et al. 1998) as supplementary information to CloudSat radar and CALIPSO lidar measurements for cloud scenario classification. Cloud variability orthogonal to the radar ground track will be explored with MODIS radiance data to improve cumulus-type cloud identification.

Band	Wavelength (µm)	
1 (250 m)	0.659	Clouds, shadow
2 (250 m)	0.865	low clouds
26	1.375	thin cirrus
29	8.550	cloud
31	11.030	cloud
32	12.020	cloud

Table 5: The MODIS bands used in the MODIS cloud mask algorithm

#### 3.2.3. ECMWF

Temperature profiles are used.

#### 3.2.4. Coastline Map

Land or ocean flag is used.

# 3.2.5. Topographical Map

It provides surface altitudes above the sea level to estimate the heights of cloud above the surface.

# 3.3. Input Variable Summary

See the 2B-CLDCLASS-LIDAR Interface Control Document in the appendix A.

# 3.4. Control and Calibration

No control and calibration are necessary for this algorithm.

# 4. Algorithm Summary

First algorithm performs clusting analysis to group individual cloud profiles in to a cluster, then calculate cluster features to classfy it as one of the standard cloud type. Figure 5 presents the general block structure of the classification algorithm.

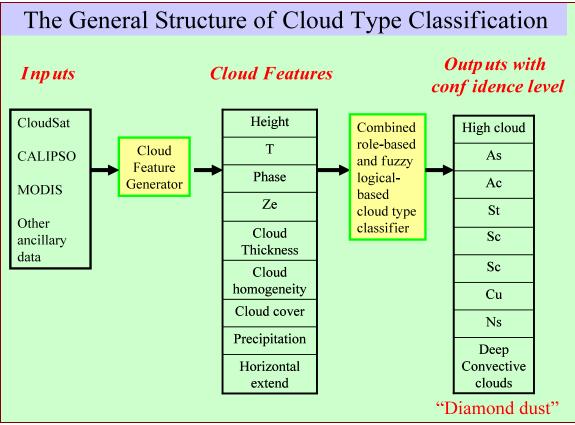


Figure 5. The general function blocks of the classification algorithm.

# 4.1. Cloud clustering analysis

Cloud Cluster: One or multiple similar cloud elements

**Cloud Element:** A group of radar and/or lidar detected and horizontally connected cloud cloud layers with a similar vertical extend. Systemaic changes in cloud base or top height, cloud thickness, precipitation, or phase are used to separate horizontally connected cloud layers into different elements.

Because of the strong variabilities of clouds, it is difficult to apply a classification algorithm directly to an individual radar profile. Different types of clouds have different

horizontal and vertical extents. The cloud clustering analysis provides cloud horizontal and vertical extent features. For some cloud types, such as Cu and Sc, horizontal extent of a cloud element may be small. Therefore, it is necessary to allow breaks between cloud elements to group multiple similar cloud elements together for the classification. A CloudSat granule may be divided into a different number of cloud clusters varying with cloud types presented in the granule.

### 4.2. The flowchart of cloud scenario classification

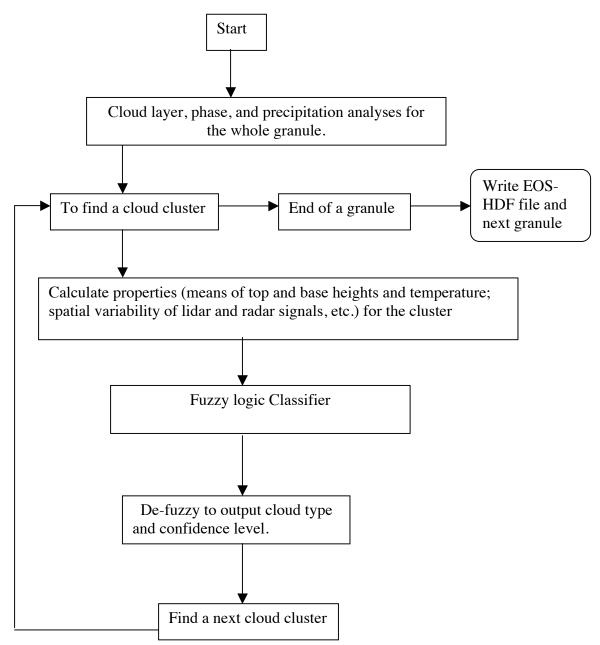
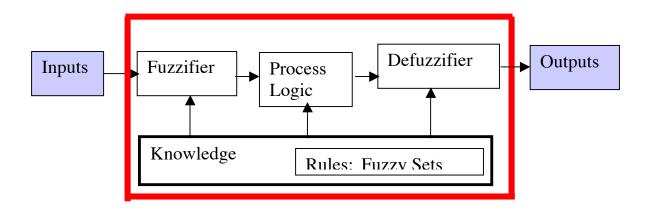


Figure 6: The algorithm flowchart for cloud scenario classification

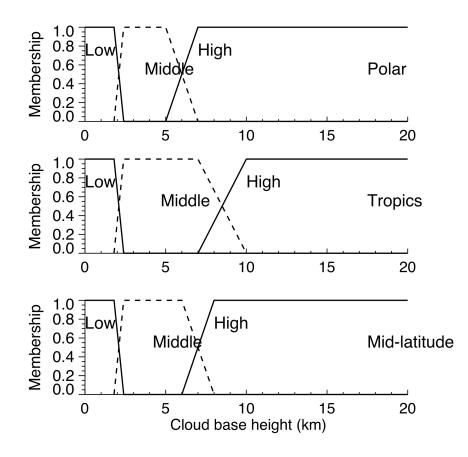
# 4.3. Classification Method

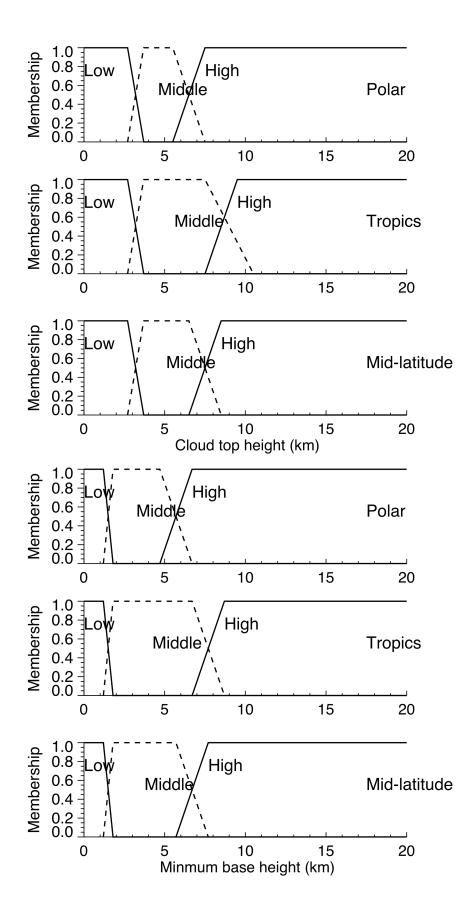
A combination of rule based and fuzzy logical based classification is used in this algorithm to improve classification flexibility. The cloud phase determination is based on

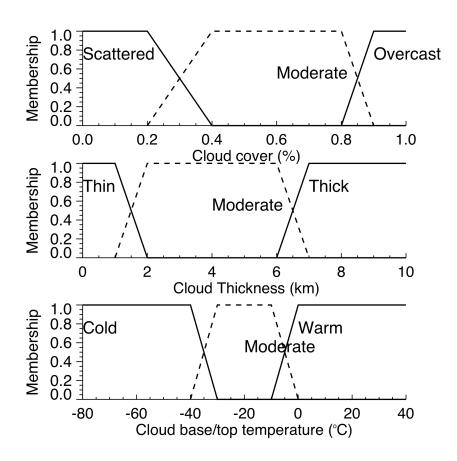
rule-based logics and the cloud type classification is mainly based on the fuzzy logics. The general steps to use fuzzy logics for classification are schematically illustrated as:

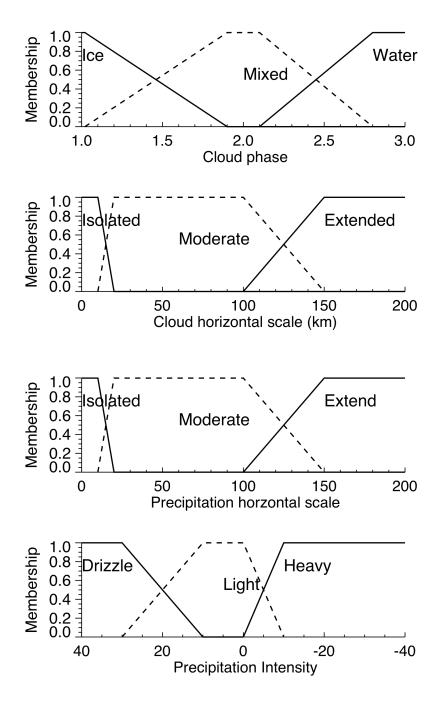


A priori (expert) knowledge is needed to set the membership functions for the fuzzy sets. The membership functions of main fuzzy sets used in this algorithm are presented in Fig. 7. To calculate the membership of given fuzzy variable, the mean property of the cloud cluster is used. Currently, we use radar surface returns to simply measure precipitation intensity and only consider land and ocean differences.









**Figure 7**: The membership functions for fuzzy variables of cloud top and base height and temperature, phase, cover, and thickness, and precipitation features.

Table 6 presents two examples to show how fuzzy logical based classification works. Based on the inputs, we will have different memberships (between 0 and 1) for different fuzzy sets. For example with -53.0 °C cloud base temperature, cold cloud base has a membership of 1 and moderate or warm cloud base has a membership of 0. The membership for different cloud type can be between 0 and 1 too. For the first case, we have amembership 1 for high cloud, and 0 for the others. Therefore, we are confident

about the output. The second case is more complicated as there are two cloud types (high and As) with non-zero memberships. In the de-fuzzy process, we output a cloud type (high), which has the highest membership, and confidence level given by the normalized membership. However, there is option to output both cloud types with different confidence levels.

**Table 6**: Examples of fuzzy logical based classification

Base H Тор Н Base T Тор Т **Cloud Fraction Phase** Inputs dH 8.52818 10.1073 -63.0221 1.57921 -53.0121 1.00000 3.00000 Base temperature : cold, moderate, warm 1.00000 0.000000 0.000000 Member-Cloud base height: Low, Mid, High 0.000000 0.000000 1.00000 1.00000 ships of Cloud thickness: Thin, Moderate, Thick 0.000000 0.000000 Cloud cover: Scattered, Moderate, Overcast 0.000000 0.000000 1.00000 fuzzy sets 0.000000 Cloud horizontal extend : Isolated, Moderate, Extended 0.000000 1.00000 high M, As M, Ac M, St M, Sc m, Cu M, Ns M, Deep M Outputs 1.00000 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000

> Cloud Fraction Phase Base H Top\_H ΗЬ Base T Top\_T 7.47600 8.26350 0.787620 -38.8858 -43.7634 1.00000 3.00000 0.888580 Base temperature : cold, moderate, warm 0.111420 0.000000 0.000000 Cloud base height: Low, Mid, High 0.262000 0.738000 Cloud thickness: Thin. Moderate. Thick 0.589114 0.410886 0 000000 Cloud cover: Scattered, Moderate, Overcast 0.000000 0.000000 1.00000 0.000000 1.00000 0.000000 Cloud horizontal extend : Isolated, Moderate, Extended St\_M, high M, As M, Ac M, Sc m, Cu M, Ns M, Deep M 0.738000 0.262000 0.000000 0.000000 0.000000 0.000000 0.000000 0.00000

Notes:

Base\_H, Base\_T: cloud base height and temperature.
Top\_H, Top\_T: cloud top height and temperature.
dH: Cloud thickness.
High\_M, As\_M, Ac\_M, St\_M, Sc\_M, Cu\_M, Ns\_M, and Deep\_M: memberships for high, As, Ac, St, Sc, Cu, Ns, and Deep clouds.

To accommodate latitude dependent of tropopause height, we vary middle and high cloud definitions according to the latitude bands. The overlap between two variables (such as low cloud and middle cloud) provides the flexibility to hand errors in cloud base height and the freedom to use other parameters, such as cloud temperature (cold, moderate, and warm), precipitation intensity (drizzle, light, heavy), cloud thickness (thin, moderate, and thick), phase (ice, middle and water), to better define the outcomes with confidence levels. As an example, the Table 7 lists the main fuzzy roles used to define As and Ac clouds.

Property	As	Ac
Height and temperature	Middle base; low base and	Middle base; low base and
(base and top)	middle or high top	middle top; warm or
		moderate cloud top
		temperature
Phase	Ice or mixed-phase	Mixed-phase or water
Precipitation	No precipitation or isolated	No precipitation or isolated
	drizzle	or drizzle
Thickness	Moderate or thick or thin	Thin or moderate
	ice	
Horizontal extend	Moderate or extend or mid-	Isolated or moderated or
	level ice	extended mixed-phase
Cloud cover	Overcast or moderate mid-	Any
	level ice clouds	

**Table 7**: The fuzzy roles for As and Ac cloud classification

# 4.4. Precipitation Identification

Precipitation identification is an important step in the classification scheme. The current version algorithm, we use the same approach as the 2B-CLDCLASS product for the precipitation identification. But in the future version, we will use the precipitation flags in the CloudSat precipitation product.

# 4.5. Cloud Phase Identification

Although clouds can contain only water droplets when  $> 0^{\circ}$ C and only ice crystals when  $< -40 \,^{\circ}$ C, between 0 and  $-40 \,^{\circ}$ C, clouds can be of ice, water, or mixed phase composition (Rauber and Tokay 1991; Cober et al. 2001). Cloud properties associated with different cloud phases within this temperature range are complicated and not well known. To identify cloud phase, especially globally, is important to better understand cloud's role in climate change. Knowing cloud phase also allow for a better cloud type identification. For example, altostratus clouds are mainly ice clouds associated with synaptic scale motions. Due to small scale dynamics, local and embedded mixed-phase clouds can exist. On the other hand, altocumulus clouds often exist as water or supercooled water with ice virga (mixed-phase). As documented by Zhang et al. (2010) mixed-phase altocumulus clouds are widely distributed.

CALIPSO level-2 scene identification provides water or ice cloud information based on the CALIOP measurements (Hu et al. 2009). However, there are few limitations to identify mixed-phase cloud correctly from space-based lidar measurements because of the strong impacts of multiple scatter on lidar depolarization measurements and the limited penetration of lidar for optically thick clouds. With coincident CloudSat and CALIPSO measurements, we developed a reliable approach to determine cloud layer phase (water, ice, and mixed-phase) and the location of water layer top to avoid the main limitations of the lidar-only based approach.

The approach is based on the fundamental microphysical and optical property differences between ice particle and water droplets. First, ice particles are much large than water droplets. The large ice particles have terminal falling velocity about 1 m/s while small droplets have negligible falling velocity. This different vertical falling speeds affect cloud vertical distributions. Large particles are generally located near the bottom of ice clouds, while large water droplets are normally found near the top of water clouds. In the case of stratiform mixed-phase, ice particles grow quickly in the mixed-phase layer to form ice virga or precipitation below the mixed-phase layer. Second, there are orders of magnitude differences of cloud droplet and ice crystal number concentrations. Ice crystal number concentrations generally increase with temperature decrease and vary from less than 1/L to as high as 100 /L within the temperature range warmer than homogeneous ice nucleation. Water droplet concentrations range from as low as 10/cm<sup>3</sup> over clear marine boundary layer to as high as 500 /cm<sup>3</sup> over polluted continental boundary layer.

These microphysical property differences between water and ice phases result in large differences in their radiative properties, therefore, their different contributions to CALIPSO lidar and CloudSat signals (Wang and Sassen 2001). Due to weak attenuation of ice clouds, lidar signals can penetrate ice cloud layer up to a few kilometers. On the other hand, lidar signals are often attenuated by water clouds in just a few hundred meters. Although cloud droplet concentrations are much higher than ice crystal concentrations, CloudSat CPR  $Z_e$  in mixed-phase clouds are still dominated by ice particles because  $Z_e$  is proportional to the sixth power of particle size under the Rayleigh scattering region.

With these different sensitivities of CloudSat radar and CALIPSO lidar on ice crystals and water droplets, we can effectively determine the phase of a cloud layer. First, by locating a strong signal increase (slope and magnitude) followed by a strong signal decrease due to attenuation, we detect the location of possible water layer (Wang and Sassen 2001). The cloud number concentration estimated from combined CloudSat and CALIPSO measurements are also used to improve water layer identification. Horizontally orientated plates do produce strong signals like a water layer, but it can be separated from a water layer by its weak attenuation after the strong signal increase.

With lidar identified water layer locations and the maximum radar signals in the layers, we can discriminate supercooled water layer with mixed-phase layer. A temperature dependent  $Z_e$  threshold was developed to detect ice occurrence (see Fig. 8, Zhang et al. 2010). If a cloud layer with top colder than -7 °C and having supercooled water region and the layer maximum  $Z_e$  larger than the threshold, the cloud layer is regarded as a mixed-phase cloud layer. Otherwise, detected supercooled water layer is regarded as water phase.

The cloud top and base temperatures are also used as the first cut for the phase determination. Figure 9 shows the phase determine logics for lidar-radar detected cloud layers. The different logical paths for the phase determination contain different information, therefore different confidence levels. Together with the phase information for each cloud layer, we also output the confidence level as well as supercooled water layer top. In the HDF file, the cloud phase has values from 1 to 3: 1-ice, 2-mixed-phase, and 3-water.

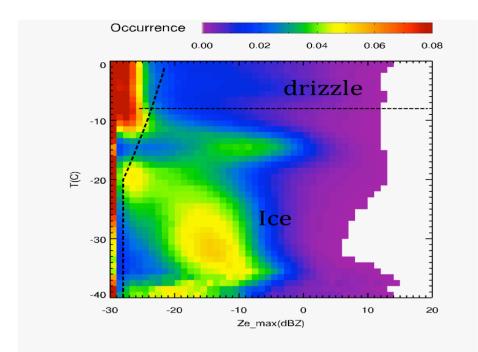


Figure 8. Water topped stratiform cloud distribution as a function of cloud top temperature and maximum  $Z_e$  within 500 m of the top. The thick dashed line indicate the  $Z_e$  thresholds.

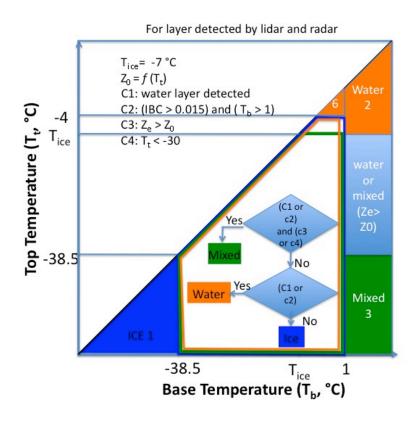


Figure 9. Logics for the phase determination of lidar-radar detected layers.

The approach didn't use lidar depolarization ratio and layer integrated attenuated backscattering coefficient (IBC) as CALIPSO phase determination (Hu et al. 2009), but perform well in terms of these layer lidar properties as illustrated in Fig.10. Horizontally oriented ice crystals, which are characterized by low depolarization and high IBC, are correctly identified as ice clouds or mixed-phase clouds. Due to the limited sensitivity of the CPR, it is very likely that report supercooled water layers may contain low concentration small ice crystals, but these ice particles should have a very small contribution on the total water content and optical depth of the cloud layer. Figure 11 show the seasonal cloud phase distribution map based on the 2007 and 2008 data.

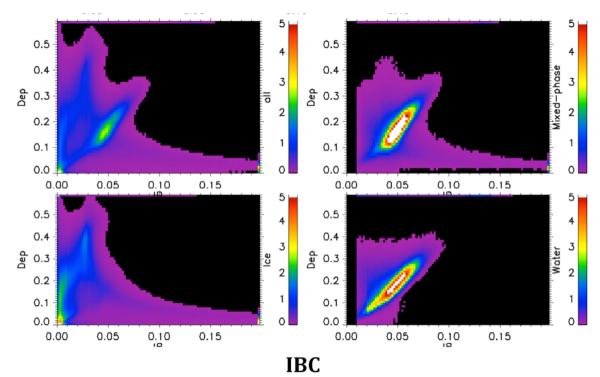


Figure 10. Cloud phase distribution in terms of layer mean depolarization ratio and integrated backscattering coefficient (IBC).

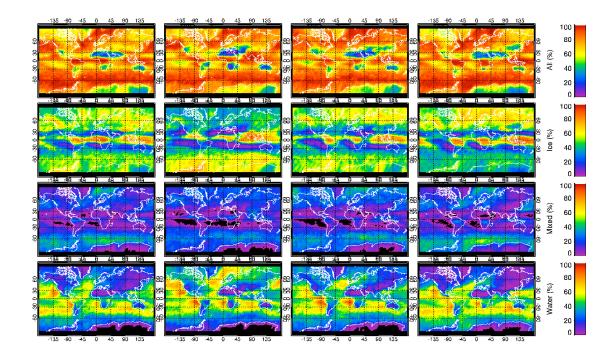


Figure 11. Seasonal cloud phase distributions based on 2007 and 2008 observations.

# 5. Data Product Output Format

The format consists of metadata, which describes the data characteristics, and swath data, which provide cloud type, phase and their qualities for each cloud layer. The different cloud types are outputted based on the following code:

-9 or 0 = Not determined
1 = High cloud
2 = Altostratus
3 = Altocumulus
4 = St
5 = Sc
6 = Cumulus
7 = Deep Convection
8 = Nimbostratus Cloud

The appendix A provides more details for these outputs.

# 6. Operator Instructions

The Level 2 cloud scenario classification processing software will be integrated into CORE. It will be called using the standard CORE procedure for calling modules to operate on data files. The output will be in the form of an HDF-EOS structure in memory, which can be saved by CORE and passed on to other Level 2 processing.

This algorithm works at two different modes: radar only and combined radar and MODIS. If there are MODIS data and radar results indicate a single layer cloud system, algorithm selects the combined radar and MODIS mode, otherwise, algorithm uses radar only mode. But the combined radar and MODIS approach is still under development. The different modes are indicated in the outputs with algorithm flag.

For quality assessment purpose, statistics for cloud cover and height are generated. Average cloud covers within 300 CPR profiles are calculated for all clouds, high, middle (As and Ac), low (St, Sc and Cu) and thick (Ns and deep convective) clouds, respectively. The occurrence of multi-layer clouds can be seen from this statistics. The percentage of clouds masked by 2B-GEOPROF and analyzed in this algorithm is also given. It supposes to be 100%, and less than 100% means something wrong in the algorithm. The following is an example of output for cloud cover statistics form test data set 1.

2	4.422	92.859	100.000	39.667	12.333	14.000	41.000 0.000
3	7.369	92.230	100.000	24.000	9.333	17.000	0.000 0.000
4	10.316	91.596	100.000	49.333	7.667	45.667	0.333 0.000
5	13.261	90.954	100.000	4 <i>5</i> .667	20.000	43.667	3.333 24.667
6	16.205	90.934 90.301	100.000	2.000	20.000	0.333	0.000 0.000
7	19.148	89.634	100.000	18.333	4.333	15.333	0.000 0.000
8	22.088	88.951	100.000	75.000	5.667	66.000	6.000 22.000
9	25.025	88.248	100.000	18.000	2.667	14.667	5.333 0.000
10	27.960	87.520	100.000	55.000	61.333	0.667	0.000 0.000
11	30.891	86.763	100.000	99.333	44.667	3.000	5.000 96.000
12	33.818	85.972	100.000	89.667	35.333	32.333	2.667 43.000
13	36.740	85.139	100.000	84.667	10.333	79.667	0.000 0.000
14	39.657	84.257	100.000	8.000	6.333	0.667	2.667 0.000
15	42.568	83.315	100.000	2.333	2.667	0.333	0.000 0.000
16	45.473	82.301	100.000	79.667	37.333	45.333	0.000 0.000
17	48.368	81.200	100.000	49.000	11.333	49.333	0.000 0.000
18	51.255	79.991	100.000	31.333	9.667	32.333	0.667 0.000
19	54.130	78.648	100.000	3.667	4.000	0.000	0.000 0.000
20	56.990	77.136	100.000	21.667	1.667	4.667	1.667 16.000
21	59.834	75.407	100.000	40.667	42.667	0.000	0.000 0.000
22	62.711	73.348	100.000	95.333	24.667	28.000	7.333 52.333
23	65.529	70.922	100.000	85.667	8.667	5.667	53.333 34.333
24	68.279	67.993	100.000	76.667	6.333	11.333	42.000 30.333
25	70.970	64.325	100.000	19.000	2.667	5.333	0.000 11.333
-	73.576	59.581	100.000	36.333	4.000		2.333 0.000
26						33.667	
27	76.048	53.225	100.000	32.667	36.333	0.000	0.000 0.000
28	78.302	44.419	100.000	36.000	20.333	28.000	0.667 0.000

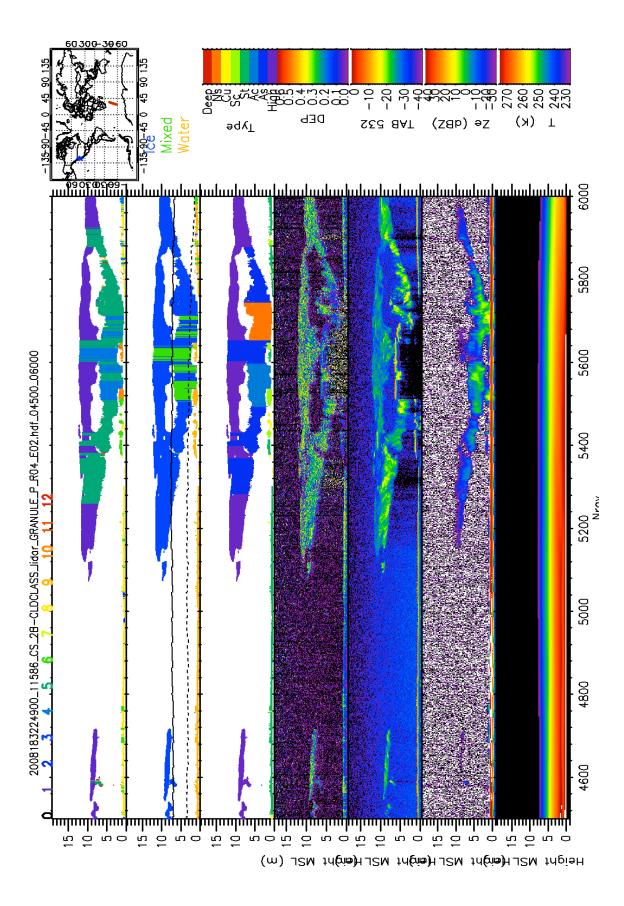
Cloud height statistics (mean, standard deviation, maximum, and minimum of cloud base and top heights) for different type clouds in different latitudes are also calculated. These results provide useful information when algorithm or input data have problems. For example, if results indicate that high clouds occur below 2 km above sea level, there is something wrong in the algorithm or input data. An example of output for test data set one is given below. Cloud types 1 to 8 represent high, As, Ac, St, Sc, Cu, Ns, and deep convective clouds, respectively.

* * * * * *	**Cloud H	leight (base	and top)	Statistics	for each	type*****
Туре	Mean	STD	Max	Min		
	Whole Gr	anuale Aver	age			
1	11.038	6.007	28.797	5.037		Cloud base
1	12.381	5.560	28.917	5.157		Cloud top
2	4.205	1.719	12.477	-0.003		
2	6.360	2.658	14.997	0.597		
3	3.353	1.467	9.597	-0.003		
3	4.305	1.634	10.437	0.597		
4	0.176	0.364	2.157	-0.003		
4	0.867	0.519	2.757	0.117		
5	0.370	0.450	3.597	-0.003		
5	1.531	0.711	5.637	0.117		
6	1.032	1.213	6.957	-0.003		
6	2.187	1.621	11.397	0.117		
7	0.418	0.835	3.357	-0.003		
7	4.429	2.105	13.077	1.557		

8 8	1.169 5.423	1.408	3.837		
0	J.425 Tropical	1.898	12.837	1.557	-23.5 to 23.5
1	-	2	00 707	E 0.27	-23.5 to 23.5
1	13.059	5.730	28.797	5.037	
1	14.336	5.221	28.917	7.317	
2	4.887	1.829	9.837	0.717	
2	6.688	2.408	13.077	1.557	
3	3.786	1.949	9.597	-0.003	
3	5.390	2.171	10.437	2.757	
4	0.255	0.507	2.157	-0.003	
4	0.686	0.626	2.277	0.117	
5	0.507	0.539	2.637	-0.003	
5	1.467	0.813	4.917	0.117	
6	1.134	1.285	6.957	-0.003	
6	2.204	1.459	7.317	0.117	
7	0.420	0.737	3.357	-0.003	
7	4.325	1.394	9.477	1.557	
8	0.697	1.149	3.837	-0.003	
8	4.651	1.338	8.277	1.557	
0		cal Averag		1.557	35 to -23.5 and 23.5 to 35
1	11.247	5.631	28.797	5.037	-55 to -25.5 and 25.5 to 55
1	12.561	5.283	28.917		
				5.397	
2	4.048	1.262		0.717	
2	5.275	1.549	13.317	2.037	
3	3.629	2.104	6.477	0.957	
3	4.133	2.168	6.837	1.077	
4	0.053	0.258	1.437	-0.003	
4	0.559	0.439	2.037	0.117	
5	0.849	0.571	3.597	-0.003	
5	1.701	0.501	3.957	0.357	
6	1.235	1.429	5.517	-0.003	
6	1.918	1.476	5.877	0.117	
7	0.250	0.625	3.357	-0.003	
7	3.710	1.704	9.477	1.557	
8	2.224	1.766	3.837	-0.003	
8	5.790	1.090	8.277	2.757	
•		ide Averac		_	55 to -35 and 35 to 55
1	11.213	6.676	28.797	5.037	
1	12.375	6.204	28.917	5.157	
2	4.218	1.245	12.477	1.437	
2	6.483	2.394	14.757	2.277	
3	3.338	0.737	5.277	0.237	
3	4.158	0.633	5.877	2.757	
4	0.077	0.033	1.437	-0.003	
4	0.782				
4 5		0.428	2.037	0.117	
	0.231	0.397	3.357	-0.003	
5	1.539	0.750	5.637	0.117	
6	0.824	1.124	6.477	-0.003	
6	2.011	1.548	7.557	0.117	
7	1.142	1.262	3.357	-0.003	
7	6.052	2.771	10.437	1.557	
8	1.651	1.359	3.837	-0.003	
8	5.462	1.660	10.677	1.797	
		itude Aver		•	<b>-</b> -90 to -55 and 55 to 90
1	9.891	5.687	28.797	5.037	
1	11.356	5.260	28.917	5.157	
2	3.879	1.962	10.557	-0.003	

2	6.529	3.157	14.997	0.597
3	2.877	1.193	5.037	0.237
3	3.581	1.136	6.357	0.597
4	0.216	0.282	2.157	-0.003
4	1.161	0.329	2.757	0.357
5	0.427	0.341	3.117	-0.003
5	1.527	0.597	4.917	0.117
6	0.999	1.093	5.517	-0.003
6	2.374	1.814	11.397	0.117
7	0.302	0.700	3.357	-0.003
7	4.438	2.066	13.077	1.557
8	0.808	1.227	3.837	-0.003
8	5.710	2.310	12.837	1.797

Another quick look for the performance of algorithm is to plot cloud type profile together with radar reflectivity and cloud mask profiles. An example of this plot is given in next page. Horizontal and vertical distributions of cloud types can be easily examined from this kind of plot.



#### 7. References

- Ackerman, S. A., K. I. Strabala, W. P. Menzel, R. A. Frey, C. C. Moeller, and L. E. Gumley, 1998: Discriminating clear sky from clouds with MODIS. J. Geophys. Res., 32,141-32,157.
- Bankert, R. L., 1994: Cloud classification of AVHRR imagery in maritime regions using a probabilistic neural network. J. Appl. Meteor., **33**, 909-918.
- Chen, T., W. B. Rossow, and Y. Zhang, 2000: Cloud type radiative effects from the international satellite cloud climatology project. Proc. 11th Symposium on Global Change Studies, Long Beach, California, American Meteorological Society, 86-89.
- Cober, S. G., G. A. Isaac, A. V. Korolev, and J. W. Strapp, 2001: Assessing cloud phase condition conditions, *J. Appl. Meteor.*, **40**, 1967-1983.
- Deng, M. G. G. Mace, Z. Wang, and H. Okamoto, 2010: TC<sup>4</sup> Validation for Ice Cloud Profiling Retrieval Using CloudSat Radar and CALIPSO Lidar, J. Geophys. Res., 115, D00J15, doi:10.1029/2009JD013104.
- Duchon, C. E., and M. S. O'Malley, 1999: Estimating cloud type from pyranometer observations. J. Appl. Meteor., **38**, 132-141.
- Hartmann, D. L., M. E. Ockert-bell, and M. L. Michelsen, 1992: The effect of cloud type on Earth's energy balance: global analysis. *J. Climate*, **5**, 1281-1304.
- Hu, Y., D. Winker, M. Vaughan, B. Lin, A. Omar, C. Trepte, D. Flittner, P. Yang, S. L. Nasiri, B. Baum, W. Sun, Z. Liu, Z. Wang, S. Young, K. Stamnes, J. Huang, R. Kuehn, R. Holz 2009: CALIPSO/CALIOP Cloud Phase Discrimination Algorithm, *Journal of Atmospheric and Oceanic Technology*, 26, 2293-2309.
- Luo, G., P. A. Davis, L. L. Stowe, and E. P. McClain, 1995: A pixel-scale algorithm of cloud type, layer, and amount for AVHRR data. Part I: nighttime. J. Atmos. Oceanic. Technol., 12, 1013-1037.
- Moran, J. M., M. D. Morgan, and P. M. Pauley, 1997: *Meteorology: the Atmosphere and the Science of Weather*. Prentice-Hall, 530 pp.
- Parker, S. P., Editor in chief, 1988: *Meteorology Source Book*. McGraw-Hall, 304 pp.
- Penaloza, M. A., and R. M. Welch, 1996: Feature selection for classification of polar regions using a fuzzy expert system. *Remote Sens. Environ.*, 58, 81-100.
- Rauber, R. M., and A. Tokay, 1991: An explanation for the existence of supercooled water at the tops of cold clouds. *J. Atmos. Sci.*, **48**, 1005-1023.
- Rossow, W. B., and R. A. Schiffer, 1999: Advances in understanding clouds from ISCCP. *Bull. Amer. Meteor. Soc.*, **80**, 2261-2286.
- Sassen K., 1991: The polarization lidar technique for cloud research: A review and current assessment. *Bull. Amer. Meteor. Soc.*, **72**, 1848–1866.
- Tovinkere, V. R., and M. Penaloza, A. Logar, J. Lee, R. C. Weger, T. A. Berendes, and R. M. Welch, 1993: An intercomparison of artificial intelligence approaches fro polar scene identification. J. Geophys. Res., 98, 5001-5016.
- Uddstrom, M. J., and W. R. Gray, 1996: Satellite cloud classification and rain-rate estimation using multispectral radiances and measures of spatial texture. *J. Appl. Meteor.*, **35**, 839-858.

- Wang, Z. and K. Sassen, 2001: Cloud type and macrophysical property retrieval using multiple remote sensors. *J. Appl. Meteor.*, **40**, 1665-1682.
- Welch, R. M., S. K. Sengupta, A. K. Goroch, P. Rabindra, N. Rangaraj, and M. S. Navar, 1992: Polar cloud and surface classification using AVHRR imagery: An intercomprison of methods. J. Appl. Meteor., 31, 405-420.
- Williams, C. R., W. L. Ecklund, and K. S. Gage, 1995: Classification of precipitating clouds in the tropics using 915-MHz wind profilers. J. Atmos. Oceanic Technol., 12, 996-1012.
- World Meteorological Organization, 1956: International Cloud Atlas: abridged atlas. WMO, Geneva [c1969].
- Zhang, D., Z. Wang, and D. Liu, 2010: A Global View of Mid-level Liquid Layer Topped Stratiform Cloud Distributions and phase partition from CALIPSO and CloudSat Measurements, J. Geophys. Res., 115, D00H13, doi:10.1029/2009JD012143.

#### 8. Acronym List

Aqua	NASA's Earth Observing System PM Project
ARM	Atmospheric Radiation Measurement (ARM)
CIRA	Cooperative Institute for Research in the Atmosphere
CPR	Cloud Profiling Radar
CORE	CloudSat Operational and Research
EOS	Earth Observing System
HDF	Hierarchical Data Format
IFOV	Instantaneous field of view
IWC	Ice Water Content
LITE	Lidar In-space Technology Experiment
LWC	Liquid Water Content
MMCR	Millimeter- wave cloud radar
MODIS	Moderate Resolution Imaging Spectroradiometer
CALIPSO	Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations
VTCW	Vehicle Time Code Word
SGP	The Southern Great Plains
NSA	The North Slope of Alaska
TWP	The Tropical Western Pacific
CART	Cloud and Radiation Testbed
CRYSTAL-FACE	The Cirrus Regional Study of Tropical Anvils and Cirrus Layers -
	Florida Area Cirrus Experiment
CPL	Cloud Physics Lidar
CRS	Cloud Radar System

#### 9. Open Issues and comments

Cu clouds include fair weather cumulus and cumulus congestus. Fair weather cumulus are typically broken and geometrically thin clouds. Cumulus congestus are more vertically developed and often have top in the middle level. For statistical analyses, it is important to keep this in mind.

Polar regions present many difficult conditions for boundary layer cloud classification. For example, there are often optically ice clouds, which are difficult to fit into any traditional boundary layer cloud types.

Low and middle clouds are separated based on cloud base heights above the surface. Over mountain areas, we could have St, Sc, or Cu clouds with base heights above 7 km or higher in terms of the mean sea level. It is important to keep this in mind to better interpret statistical results.

10. The appendix A: The Interface Control Document

**CloudSat Project** A NASA Earth System Science Pathfinder Mission

# **2B-CLDCLASS-LIDAR Interface Control Document**

Algorithm Version: 1.0 (2 February 2005) 2B-CLDCLASS-LIDAR Product Version: 000 (9 August 2004)

**\*\*DRAFT\*\*** 

Developer: Zhien Wang (University of Wyoming)

# 1. I/O Lists

## **1.1 Algorithm Inputs**

	Source	Field
(1)	2B-GEOPROF 012	Seconds since the start of the granule.
(2)	2B-GEOPROF 012	Data status flags
(3)	2B-GEOPROF 012	TAI time for the first profile.
(4)	2B-GEOPROF 012	Spacecraft Longitude
(5)	2B-GEOPROF 012	Spacecraft Latitude
(6)	2B-GEOPROF 012	Range to the CPR boresight intercept with the geoid
(7)	2B-GEOPROF 012	Height of range bin in Reflectivity/Cloud Mask above reference surface (~ mean sea level).
(8)	2B-GEOPROF 012	Radar Reflectivity Factor
(9)	2B-GEOPROF 012	CPR Cloud Mask
(10)	2B-GEOPROF 012	MODIS scene characterizations
(11)	2B-GEOPROF 012	MODIS scene variability
(12)	2B-GEOPROF 012	Digital Elevation Map
(13)	2B-GEOPROF 012	Land Sea Flag
(14)	2B-GEOPROF 012	
(15)	2B-GEOPROF 012	Clutter_reduction_flag
(16)	2B-GEOPROF 012	Location of Surface Bin as determined by 1B CPR algorithm. The value here is shifted (as Height).
(17)	2B-GEOPROF-LIDAR 004	Cloud Fraction
(18)	2B-GEOPROF-LIDAR 004	Quality of radar and lidar data
(19)	2B-GEOPROF-LIDAR 004	Number of hydrometeor layers
(20)	2B-GEOPROF-LIDAR 004	Height of Layer Base
(21)	2B-GEOPROF-LIDAR 004	Height of layer top
(22)	2B-GEOPROF-LIDAR 004	Flag of layer base
(23)	2B-GEOPROF-LIDAR 004	Flag of layer top
(24)	ECMWF-AUX 008	Specific humidity
(25)	ECMWF-AUX 008	Temperature
(26)	ECMWF-AUX 008	Atmospheric pressure
(27)	LIDAR-AUX 004	Attenuated Backscatter 1064
(28)	LIDAR-AUX 004	Perpendicular Attenuated Backscatter 532
(29)	LIDAR-AUX 004	Total Attenuated Backscatter 532
(30)	LIDAR-AUX 004	LidarCloudMask
(31)	LIDAR-AUX 004	Lidar 60m bin height
(32)	LIDAR-AUX 004	Day_night_flag
(33)	LIDAR-AUX 004	Cloud fraction
(34)	LIDAR-AUX 004	Number of CALIPSO profile collocated within a CloudSat footprint

#### **1.2 Products**

#### 1.2.1 2B-CLDCLASS-LIDAR 000 Fields

	Source	Field
(1)	2B-GEOPROF 012	Seconds since the start of the granule.
(2)	2B-GEOPROF 012	UTC seconds since 00:00 Z of the first profile
(3)	2B-GEOPROF 012	TAI time for the first profile.
(4)	2B-GEOPROF 012	Spacecraft Latitude
(5)	2B-GEOPROF 012	Spacecraft Longitude
(6)	2B-GEOPROF 012	Height of range bin in Reflectivity/Cloud Mask above reference surface (~ mean sea level).
(7)	2B-GEOPROF 012	Range to the CPR boresight intercept with the geoid
(8)	2B-GEOPROF 012	Digital Elevation Map
(9)	2B-GEOPROF 012	
(10)	2B-GEOPROF 012	Nominal satellite pitch angle offset from nadir
(11)	2B-GEOPROF 012	Nominal satellite roll angle offset from nadir
(12)	2B-GEOPROF 012	Data Quality
(13)	2B-GEOPROF 012	Data status flags
(14)	2B-GEOPROF 012	CPR bus orientation (target ID)
(15)	2B-CLDCLASS-LIDAR 000	
(16)	2B-CLDCLASS-LIDAR 000	
(17)	2B-CLDCLASS-LIDAR 000	
(18)	2B-CLDCLASS-LIDAR 000	
(19)	2B-CLDCLASS-LIDAR 000	
(20)	2B-CLDCLASS-LIDAR 000	
(21)	2B-CLDCLASS-LIDAR 000	
(22)	2B-CLDCLASS-LIDAR 000	
(23)	2B-CLDCLASS-LIDAR 000	
(24)	2B-CLDCLASS-LIDAR 000	
(25)	2B-CLDCLASS-LIDAR 000	
(26)	2B-CLDCLASS-LIDAR 000	the logical path of phase determination
(27)	2B-CLDCLASS-LIDAR 000	water layer top

# 2. Input Field Specifications

## (1) Seconds since the start of the granule.

Name in file: Profile_time	Range: 0 to 6000
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nray	Offset: 0
Units: seconds	<b>MB:</b> 0.139

Seconds since the start of the granule for each profile. The first profile is 0.

#### (2) Data status flags

Name in file: Data_status	<b>Range:</b> 0 to 127
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): UINT(1)	Missing value operator:
Field type (in algorithm): UINT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

This is a bit field that contains data status flags:

Bit 0: missing frame (0=false, 1=true) Bit 1: SOH missing (0=false, 1=true) Bit 2: GPS data valid (0=false, 1=true) Bit 3: 1 PPS lost (0=false, 1=true) Bit 4: Star tracker 1 (0=off, 1=on) Bit 5: Star tracker 2 (0=off, 1=on) Bit 6: Coast (0=false, 1=true) Bit 7: NISC (0=false, 1=true)

#### (3) TAI time for the first profile.

Name in file: TAI_start	<b>Range:</b> 0 to 6e+008
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(8)	Missing value operator:
Field type (in algorithm): REAL(8)	Factor: 1
<b>Dimensions:</b> <scalar></scalar>	Offset: 0
Units: seconds	<b>MB:</b> 0

The TAI timestamp for the first profile in the data file. TAI is International Atomic Time: seconds since 00:00:00 Jan 1 1993.

## (4) Spacecraft Longitude

Name in file: Longitude	<b>Range:</b> -180 to 180
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nray	Offset: 0
Units: degrees	<b>MB:</b> 0.139

Spacecraft geodetic longitude

## (5) Spacecraft Latitude

Name in file: Latitude

Range: -90 to 90

Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nray	Offset: 0
Units: degrees	<b>MB:</b> 0.139

Spacecraft Geodetic Latitude.

#### (6) Range to the CPR boresight intercept with the geoid

Name in file: Range_to_intercept	Range: 600 to 800
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nray	Offset: 0
Units: km	<b>MB:</b> 0.139

Range from the spacecraft to the CPR boresight intercept with the geoid.

# (7) Height of range bin in Reflectivity/Cloud Mask above reference surface (~ mean sea level).

Name in file: Height	Range: -5000 to 30000
Source: 2B-GEOPROF 012	Missing value: -9999
Field type (in file): INT(2)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(2)	Factor: 1
Dimensions: nbin,nray	Offset: 0
Units: m	<b>MB:</b> 8.674

Height of the radar range bins in meters above mean sea level.

#### (8) Radar Reflectivity Factor

Name in file: Radar_Reflectivity	<b>Range:</b> -40 to 50
Source: 2B-GEOPROF 012	Missing value: -88.88
Field type (in file): INT(2)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 0.01
Dimensions: nbin,nray	Offset: 0
Units: dBZe	<b>MB:</b> 8.674

Radar reflectivity factor Ze is calculated with the echo power and other input data as described in Li and Durden (2001)

#### (9) CPR Cloud Mask

Name in file:CPR\_Cloud\_maskRange:0 to 40Source:2B-GEOPROF 012Missing value:-9

Field type (in file): INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: nbin,nray	Offset: 0
Units:	<b>MB:</b> 4.337

Each CPR resolution volume is assigned 1 bit mask value:

0 = No cloud detected
1 = likely bad data
5 = likely ground clutter
5-10 = week detection found using along track integration.
20 to 40 = Cloud detected .. increasing values represents clouds with lower chance of a being a false

detection.

#### (10) MODIS scene characterizations

Name in file: MODIS_scene_char	<b>Range:</b> 0 to 9
Source: 2B-GEOPROF 012	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

This data includes MODIS pixel cloudiness characterization using cloudmask bit tests. See Table 3 for a detailed specification.

#### (11) MODIS scene variability

Name in file: MODIS_scene_var	<b>Range:</b> 0 to 5
Source: 2B-GEOPROF 012	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

MODIS scence variability -variability of classification assigned to the 1 km MODIS pixels that compose the CloudSat footprint and immediately adjacent region. See Table 5 for a detail specification.

#### (12) Digital Elevation Map

Name in file: DEM_elevation	Range: -9999 to 8850
Source: 2B-GEOPROF 012	Missing value: 9999
Field type (in file): INT(2)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(2)	Factor: 1
Dimensions: nray	Offset: 0
Units: meters	<b>MB:</b> 0.069

Elevation in meters above Mean Sea Level. A value of -9999 indicates ocean. A value of 9999 indicates an error in calculation of the elevation.

## (13) Land Sea Flag

Name in file: Navigation_land_sea_flag	Range: 1 to 3
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): UINT(1)	Missing value operator:
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

Flag indicating whether spacecraft is over land or sea:

1 = land 2 = ocean3 = coast

### (14)

Name in file: Vertical_binsize	Range: to
Source: 2B-GEOPROF 012	Missing value: -9999
Field type (in file): REAL(4)	Missing value operator: ==
Field type (in algorithm): REAL(4)	Factor: 1
<b>Dimensions:</b> <scalar></scalar>	Offset: 0
Units: m	<b>MB:</b> 0

effective vertical height of the radar range bin.

#### (15) Clutter\_reduction\_flag

Name in file: Clutter_reduction_flag	Range: to
Source: 2B-GEOPROF 012	Missing value:
<b>Field type (in file):</b> INT(1)	Missing value operator:
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

A value of 1 indicates that an estimate of surface clutter has been subtracted from the observed return power in bins 2 through 5 above the surface. A value of 0 indicate that NO clutter reduction has been applied.

# (16) Location of Surface Bin as determined by 1B CPR algorithm. The value here is shifted (as Height).

Name in file:SurfaceHeightBinRange:1 to 125Source:2B-GEOPROF 012Missing value:-1

Field type (in file): INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

Location of Surface Bin as determined by 1B CPR algorithm. The value here is shifted (as is the Height matrix) so bins in neighboring rays are about the same height.

## (17) Cloud Fraction

Name in file: CloudFraction	<b>Range:</b> 0 to 100
Source: 2B-GEOPROF-LIDAR 004	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: nbin,nray	<b>Offset:</b> 0
Units:	<b>MB:</b> 4.337

The CloudFraction reports the fraction of lidar volumes in a radar resolution volume that contains hydrometeors. It is recorded per ray and per bin as a 1-byte integer variable. It is a percentage from 0 to 100.

#### (18) Quality of radar and lidar data

Name in file: UncertaintyCF	<b>Range:</b> 0 to 100
Source: 2B-GEOPROF-LIDAR 004	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: nbin,nray	Offset: 0
Units:	<b>MB:</b> 4.337

The UncertaintyCF is a description of the quality of radar and lidar data. It is recorded per ray and per bin as 1-byte integer.

0 means that neither radar data nor lidar data was found.

1 indicates that only radar data has been found.

2 indicates that only lidar data has been found.

3 indicates that both radar data and lidar data were found.

#### (19) Number of hydrometeor layers

Name in file: CloudLayers	<b>Range:</b> 0 to 5
Source: 2B-GEOPROF-LIDAR 004	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

CloudLayers is a description of the number of the observed hydrometeor layers in the vertical column of the radar footprint. It is recoded per ray as 1-byte integer. Its value is from 0 to 5. A maximum of 5 layers are recorded.

## (20) Height of Layer Base

Name in file: LayerBase	Range: 0 to 25000
Source: 2B-GEOPROF-LIDAR 004	Missing value: -99
Field type (in file): INT(2)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units: m	<b>MB:</b> 0.347

LayerBase is a description of the height of the observed hydrometeor layer base. It is recoded per ray as 4-byte float. Its value is from 0 to 25000. The units are meters.

## (21) Height of layer top

Name in file: LayerTop	Range: 0 to 25000
Source: 2B-GEOPROF-LIDAR 004	Missing value: -99
Field type (in file): INT(2)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units: m	<b>MB:</b> 0.347

LayerTop is a description of the height of the observed hydrometeor layer top. It is recoded per ray as 4byte float. Its value is from 0 to 25000. The units are meters.

#### (22) Flag of layer base

Name in file: FlagBase	Range: 0 to 3
Source: 2B-GEOPROF-LIDAR 004	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.173

FlagBase is the contribution flag for each layer base. It tells which instrument has been used to identify the base height. It is recorded per ray as 1-byte integer.

0 means that neither radar nor lidar finds a layer base.

1 indicates that only the radar has found the base.

2 indicates that only the lidar has found the base.

3 indicates that both radar and lidar have found the base.

-9 corresponds to missing data.

## (23) Flag of layer top

Name in file: FlagTop	<b>Range:</b> 0 to 3
Source: 2B-GEOPROF-LIDAR 004	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.173

FlagTop is the contribution flag for each layer top. It tells which instrument is finding the top height. It is recorded per ray as 1-byte integer.

0 means that neither radar nor lidar find a top.1 indicates that only the radar has found the top.2 indicates that only the lidar has found the top.3 indicates that both radar and lidar have found the top.-9 corresponds to missing data.

### (24) Specific humidity

Name in file: Specific_humidity	Range: to
Source: ECMWF-AUX 008	Missing value: -999
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nbin,nray	Offset: 0
Units: kg/kg	<b>MB:</b> 17.349

#### (25) Temperature

Name in file: Temperature	Range: to
Source: ECMWF-AUX 008	Missing value: -999
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nbin,nray	Offset: 0
Units: K	<b>MB:</b> 17.349

#### (26) Atmospheric pressure

Name in file: Pressure	Range: to
Source: ECMWF-AUX 008	Missing value: -999
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nbin,nray	Offset: 0
Units: Pa	<b>MB:</b> 17.349

### (27) Attenuated Backscatter 1064

Name in file: TAB1064	<b>Range:</b> 0 to 0.4
Source: LIDAR-AUX 004	Missing value: -9999
Field type (in file): REAL(4)	Missing value operator: ==
Field type (in algorithm): REAL(4)	Factor: 1
Dimensions: lidar_11_583,nray	Offset: 0
<b>Units:</b> km^-1 sr^-1	<b>MB:</b> 80.915

Attenuated Backscatter 1064 in a CloudSat footprint

#### (28) Perpendicular Attenuated Backscatter 532

Name in file: PAB532	<b>Range:</b> 0 to 0.2
Source: LIDAR-AUX 004	Missing value: -9999
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
<b>Dimensions:</b> lidar_11_583,nray	<b>Offset:</b> 0
<b>Units:</b> km^-1 sr^-1	<b>MB:</b> 80.915

Perpendicular Attenuated Backscatter 532 in a CloudSat footprint

#### (29) Total Attenuated Backscatter 532

Name in file: TAB532	<b>Range:</b> 0 to 0.4
Source: LIDAR-AUX 004	Missing value: -9999
Field type (in file): REAL(4)	Missing value operator: ==
Field type (in algorithm): REAL(4)	Factor: 1
Dimensions: lidar_11_583,nray	<b>Offset:</b> 0
<b>Units:</b> km^-1 sr^-1	<b>MB:</b> 80.915

Total Attenuated Backscatter 532 in a CloudSat footprint

#### (30) LidarCloudMask

Name in file: Mask	<b>Range:</b> 0 to 1
Source: LIDAR-AUX 004	Missing value: -99
Field type (in file): INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: Lidar60m,nray	Offset: 0
Units:	<b>MB:</b> 11.936

lidar cloud mask detected at cloudSat footprint and 60 m vertical resolution

## (31) Lidar 60m bin height

Name in file: height_lidar60m	<b>Range:</b> -2 to 30
Source: LIDAR-AUX 004	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: Lidar60m	Offset: 0
Units:	<b>MB:</b> 0.001

Lidar 60m bin height used for the 60m cloud mask

#### (32) Day\_night\_flag

Name in file: Day_night_flag	<b>Range:</b> 0 to 1
Source: LIDAR-AUX 004	Missing value:
<b>Field type (in file):</b> INT(1)	Missing value operator:
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

Day\_night\_flag from CALIPSO file: 0 day and 1 night

### (33) Cloud fraction

Name in file: Cloud_fraction	<b>Range:</b> 0 to 100
Source: LIDAR-AUX 004	Missing value: -99
Field type (in file): REAL(4)	Missing value operator: ==
Field type (in algorithm): REAL(4)	Factor: 1
Dimensions: Lidar60m,nray	Offset: 0
Units: %	<b>MB:</b> 47.744

Cloud fraction in CloudSat footprint calculated with CALIPSO 330 m data. Cloud fraction smaller than 100% isreported mainly for boundary layer clouds.

#### (34) Number of CALIPSO profile collocated within a CloudSat footprint

Name in file: Col_count	<b>Range:</b> 0 to 15
Source: LIDAR-AUX 004	Missing value: -99
Field type (in file): INT(2)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(2)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.069

Number of CALIPSO profile collocated within a CloudSat footprin. The collocation is based on the surface footprint lats and lons of CloudSat and CALIPSO. The distance between them smalller than 1.14 at latitude smaller than 60 degree, and it is increase slightly as latitude increase.

## 3. Product Field Specifications

#### 3.1 2B-CLDCLASS-LIDAR 000 Fields

#### (1) Seconds since the start of the granule.

Name in file: Profile_time	Range: 0 to 6000
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nray	Offset: 0
Units: seconds	<b>MB:</b> 0.139

Seconds since the start of the granule for each profile. The first profile is 0.

#### (2) UTC seconds since 00:00 Z of the first profile

Name in file: UTC_start	Range: 0 to 86400
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
<b>Dimensions:</b> <scalar></scalar>	Offset: 0
Units: seconds	<b>MB:</b> 0

The UTC seconds since 00:00 Z of the first profile in the data file.

#### (3) TAI time for the first profile.

Name in file: TAI_start	<b>Range:</b> 0 to 6e+008
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(8)	Missing value operator:
Field type (in algorithm): REAL(8)	Factor: 1
<b>Dimensions:</b> <scalar></scalar>	Offset: 0
Units: seconds	<b>MB:</b> 0

The TAI timestamp for the first profile in the data file. TAI is International Atomic Time: seconds since 00:00:00 Jan 1 1993.

#### (4) Spacecraft Latitude

Name in file: Latitude	Range: -90 to 90
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nray	Offset: 0
Units: degrees	<b>MB:</b> 0.139

Spacecraft Geodetic Latitude.

## (5) Spacecraft Longitude

Name in file: Longitude	<b>Range:</b> -180 to 180
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nray	Offset: 0
Units: degrees	<b>MB:</b> 0.139

Spacecraft geodetic longitude

# (6) Height of range bin in Reflectivity/Cloud Mask above reference surface (~ mean sea level).

Name in file: Height	Range: -5000 to 30000
Source: 2B-GEOPROF 012	Missing value: -9999
Field type (in file): INT(2)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(2)	Factor: 1
Dimensions: nbin,nray	Offset: 0
Units: m	<b>MB:</b> 8.674

Height of the radar range bins in meters above mean sea level.

#### (7) Range to the CPR boresight intercept with the geoid

Name in file: Range_to_intercept	Range: 600 to 800
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: nray	Offset: 0
Units: km	<b>MB:</b> 0.139

Range from the spacecraft to the CPR boresight intercept with the geoid.

## (8) Digital Elevation Map

Name in file: DEM_elevation	Range: -9999 to 8850
Source: 2B-GEOPROF 012	Missing value: 9999
Field type (in file): INT(2)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(2)	Factor: 1
Dimensions: nray	Offset: 0
Units: meters	<b>MB:</b> 0.069

Elevation in meters above Mean Sea Level. A value of -9999 indicates ocean. A value of 9999 indicates an error in calculation of the elevation.

(9)

Name in file: Vertical_binsize	Range: to
Source: 2B-GEOPROF 012	Missing value: -9999
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
<b>Dimensions:</b> <scalar></scalar>	Offset: 0
Units: m	<b>MB:</b> 0

effective vertical height of the radar range bin.

#### (10) Nominal satellite pitch angle offset from nadir

Name in file: Pitch_offset	<b>Range:</b> -90 to 90
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
Field type (in algorithm): REAL(4)	Factor: 1
<b>Dimensions:</b> <scalar></scalar>	Offset: 0
Units: degrees	<b>MB:</b> 0

The pitch angle offset from nadir during normal operations. Pitch up is positive (radar points along the flight track in the direction of motion), down is negative (radar points along the flight track opposite the direction of motion).

#### (11) Nominal satellite roll angle offset from nadir

Name in file: Roll_offset	Range: -90 to 90
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): REAL(4)	Missing value operator:
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
<b>Dimensions:</b> <scalar></scalar>	Offset: 0
Units: degrees	<b>MB:</b> 0

The roll angle offset from nadir during normal operations. Positive roll results in the radar pointing to the right of the flight track. Negative roll to the left.

#### (12) Data Quality

Name in file: Data_quality	<b>Range:</b> 0 to 127
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): UINT(1)	Missing value operator:
<b>Field type (in algorithm):</b> INT(2)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

Flags indicating data quality. If 0, then data is of good quality. Otherwise, treat as a bit field with 8 flags:

0: RayStatus\_validity not normal. 1: GPS data not valid.

- 2: Temperatures not valid.
- 3: Radar telemetry data quality is not normal.
- 4: Peak power is not normal.
- 5: CPR calibration maneuver.
- 6: Missing frame.
- 7: Not used.

## (13) Data status flags

Name in file: Data_status	<b>Range:</b> 0 to 127
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): UINT(1)	Missing value operator:
Field type (in algorithm): UINT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

This is a bit field that contains data status flags:

Bit 0: missing frame (0=false, 1=true) Bit 1: SOH missing (0=false, 1=true) Bit 2: GPS data valid (0=false, 1=true) Bit 3: 1 PPS lost (0=false, 1=true) Bit 4: Star tracker 1 (0=off, 1=on) Bit 5: Star tracker 2 (0=off, 1=on) Bit 6: Coast (0=false, 1=true) Bit 7: NISC (0=false, 1=true)

## (14) CPR bus orientation (target ID)

Name in file: Data_targetID	<b>Range:</b> 0 to 81
Source: 2B-GEOPROF 012	Missing value:
Field type (in file): UINT(1)	Missing value operator:
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

The target id indicates the orientation of the spacecraft bus. For normal operations the target ID is 0. The complete ID table is listed below:

Control Frame 0 0: CPR to point in 300 seconds - Nominal science mode 1 - 15: Target ID for testing - not planned for operational use

Control Frame 0, CPR Calibration 16: CPR to point in 160 seconds 17: CPR 15° to the right 18: CPR 15° to the left 19: CPR 10° to the right -- default rotation 20: CPR 10° to the left -- default rotation

- 21: CPR 5° to the right
- 22: CPR 5° to the left
- 23 29: Target ID for testing not planned for operational use
- 30 36: CPR rotation not planned for operational use
- 37 39: Not planned for operational use

Control Frame 1, Four thruster closed-loop

- 40: Rotation into the OR orientation
- 41: Rotation into the x-track along the anti-ang momentum
- 42: Rotation into the x-track along ang momentum
- 43: Rotation into the orbit lower orientation
- 44: Rotation into alt. OR w/ CPR away from Sun
- 45 49: Not planned for operational use

Control Frame 2, One thruster open-loop

- 50: Rotation into the OR orientation
- 51: Rotation into the x-track along the anti-ang momentum
- 52: Rotation into the x-track along ang momentum
- 53: Rotation into the orbit lower orientation
- 54: Rotation into alt. OR w/ CPR away from Sun
- 55 59: Not planned for operational use

Control Frame 3, Two thruster open-loop

- 60: Rotation into the OR orientation
- 61: Rotation into the x-track along the anti-ang momentum
- 62: Rotation into the x-track along ang momentum
- 63: Rotation into the orbit lower orientation
- 64: Rotation into alt. OR w/ CPR away from Sun
- 65 69: Not planned for operational use

Control Frame 4, Four thruster open-loop

- 70: Rotation into the OR orientation
- 71: Rotation into the x-track along the anti-ang momentum
- 72: Rotation into the x-track along ang momentum
- 73: Rotation into the orbit lower orientation
- 74: Rotation into alt. OR w/ CPR away from Sun
- 75 80: Not planned for operational use

Control Frame 5

81: Body into the x-track along the anti-ang momentum82 - 1023: Not planned for operational use

## (15)

Name in file: Cloudlayer	<b>Range:</b> 0 to 10
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -9
<b>Field type (in file):</b> INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: nray	Offset: 0
Units:	<b>MB:</b> 0.035

the total cloud layer by combining radar and lidar measurements

## (16)

Name in file: CloudLayerBase	<b>Range:</b> 0 to 20
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -99
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: ncloud,nray	<b>Offset:</b> 0
Units: km	<b>MB:</b> 0.694

Combined cloud base height

### (17)

Name in file: LayerBaseFlag	Range: to
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.173

For the base 1 for radar and 2 for lidar when both lidar and radar detecte layer. When only lidar detecte a cloud layer, such as supercold water cloud, we assign 3 for it

## (18)

Name in file: CloudLayerTop	<b>Range:</b> 0 to 20
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -99
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units: km	<b>MB:</b> 0.694

Combined cloud top height

#### (19)

Name in file: LayerTopFlag	Range: 1 to 3
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: ncloud,nray	<b>Offset:</b> 0
Units:	<b>MB:</b> 0.173

For the top 1 for radar and 2 for lidar when both lidar and radar detecte layer. When only lidar detecte a cloud layer, such as supercold water cloud, we assign 3 for it

(20)

Name in file: CloudFraction	<b>Range:</b> 0 to 1
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -99
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.694

Cloud fraction within CloudSat foot print determined from CALIPSO lidar measurements.

#### (21)

	1 to 3
Name in file: CloudPhase	<b>Range:</b> $0 \text{ to } 2$
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -9
<b>Field type (in file):</b> INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.173

Cloud phase identified by using CALIPSO feature, temperature, and radar reflectivity  $\frac{0 - water}{3 - water}$ 

#### (22)

Name in file: CloudPhaseConfidenceLevel	<b>Range:</b> 0 to 10
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.173

Confidence level assigned to the cloud phase for each layer. It has a value ranging from 0 to 10. 10 indicates the highest confidence level. If confidence level is below 5, use the cloud phase with a caution.

#### (23)

Name in file: CloudLayerType	Range: 0 to 8
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -9
Field type (in file): INT(1)	Missing value operator: ==
Field type (in algorithm): INT(1)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.173

Cloud type for each layer. 0 = Not determined 1 = cirrus 2 = Altostratus 3 = Altocumulus 4 = St 5 = Sc 6= Cumulus 7 = Deep Convection 8 = Nimbostratus Cloud

#### (24)

Name in file: CloudTypeQuality	<b>Range:</b> 0 to 1
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -99
Field type (in file): REAL(4)	Missing value operator: ==
<b>Field type (in algorithm):</b> REAL(4)	Factor: 1
Dimensions: ncloud,nray	<b>Offset:</b> 0
Units:	<b>MB:</b> 0.694

Cloud Type Quality decided based on fuzzy-logic classification.

#### (25)

Name in file: PrecipitationFlag	<b>Range:</b> -1 to 3
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -9
<b>Field type (in file):</b> INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.173

Precipitation flag indicate whether the cloud layer produces precipitation.Precipitation flag has the following values:

-1 = not determined
0 = no precipitation
1 = liquid precipitation
2 = solid precipitation
3 = possible drizzle (?)

## (26) the logical path of phase determination

Name in file: Phase_log	<b>Range:</b> 0 to 3
Source: 2B-CLDCLASS-LIDAR 000	Missing value: -9
<b>Field type (in file):</b> INT(1)	Missing value operator: ==
<b>Field type (in algorithm):</b> INT(1)	Factor: 1
Dimensions: ncloud,nray	Offset: 0
Units:	<b>MB:</b> 0.173

record the logical path of phase determination

#### (27) water layer top

Name in file: Water\_layer\_topRange: -9 to 12Source: 2B-CLDCLASS-LIDAR 000Missing value: -9Field type (in file): REAL(4)Missing value operator: ==Field type (in algorithm): REAL(4)Factor: 1Dimensions: ncloud,nrayOffset: 0Units: kmMB: 0.694

This provides water layer top height in mixed-phase and water clouds. This is mainly to indicate the location of possible water layer in mixed-phase clouds.

## 4. Changes Since the Last Product Version

#### 4.1 2B-CLDCLASS-LIDAR 000

General product changes Change inputs from CALIPSO layer products to L1B<br/>br />

Field changes Profile\_time (source: 2B-GEOPROF 012): None. UTC\_start (source: 2B-GEOPROF 012): None. TAI\_start (source: 2B-GEOPROF 012): None. Latitude (source: 2B-GEOPROF 012): None. Longitude (source: 2B-GEOPROF 012): None. Height (source: 2B-GEOPROF 012): None. Range\_to\_intercept (source: 2B-GEOPROF 012): None. **DEM\_elevation (source: 2B-GEOPROF 012):** None. Vertical binsize (source: 2B-GEOPROF 012): None. Pitch\_offset (source: 2B-GEOPROF 012): None. Roll\_offset (source: 2B-GEOPROF 012): None. Data\_quality (source: 2B-GEOPROF 012): None. Data status (source: 2B-GEOPROF 012): None. Data\_targetID (source: 2B-GEOPROF 012):

None.

#### **Cloudlayer:**

03/29/2005 17:21:37 - Added field (Zhien Wang)

#### CloudLayerBase:

03/29/2005 17:44:07 - Added field (Zhien Wang)

04/25/2005 17:23:33 - increase cloud layer range (Zhien Wang)

07/28/2008 16:15:01 - Change layer number to lidar cloud layer to combined layer (Zhien Wang)

#### LayerBaseFlag:

03/29/2005 17:49:54 - Added field (Zhien Wang)

03/30/2005 11:36:10 - Name is changed (Zhien Wang)

04/25/2005 17:23:57 - increase cloud layer range (Zhien Wang)

#### 07/28/2008 16:15:31 - lidarcldclass2b\_datastructure\_module (Zhien Wang)

#### CloudLayerTop:

03/29/2005 17:45:35 - Added field (Zhien Wang)

04/25/2005 17:24:15 - increase cloud layer range (Zhien Wang)

07/28/2008 16:18:43 - Change cloud layer dimiension (Zhien Wang)

#### LayerTopFlag:

03/29/2005 18:16:08 - Added field (Zhien Wang) 03/30/2005 11:35:32 - Name is changed (Zhien Wang) 04/25/2005 17:24:33 - increase cloud layer range (Zhien Wang) 07/28/2008 16:19:12 - Change cloud layer dimiension (Zhien Wang)

#### **CloudFraction:**

03/30/2005 12:04:28 - Added field (Zhien Wang) 04/25/2005 17:24:49 - increase cloud layer range (Zhien Wang) 07/28/2008 16:20:22 - Change cloud layer dimiension (Zhien Wang)

#### **CloudPhase:**

03/29/2005 18:19:39 - Added field (Zhien Wang) 03/30/2005 12:51:23 - Field description changed (Zhien Wang) 04/25/2005 17:25:09 - increase cloud layer range (Zhien Wang) 07/28/2008 16:21:06 - Change cloud layer dimiension (Zhien Wang)

#### CloudPhaseConfidenceLevel:

03/30/2005 12:30:37 - Added field (Zhien Wang) 04/25/2005 17:25:26 - increase cloud layer range (Zhien Wang)

07/28/2008 16:21:51 - Change cloud layer dimiension (Zhien Wang)

#### **CloudLayerType:**

03/29/2005 18:40:51 - Added field (Zhien Wang)

03/30/2005 11:38:08 - Field description is added. (Zhien Wang)

04/25/2005 17:25:45 - increase cloud layer range (Zhien Wang)

07/28/2008 16:23:19 - Change cloud layer dimiension (Zhien Wang)

#### **CloudTypeQuality:**

03/29/2005 18:45:20 - Added field (Zhien Wang)

04/25/2005 17:26:00 - increase cloud layer range (Zhien Wang)

07/28/2008 16:25:15 - Change cloud layer dimiension (Zhien Wang)

#### **PrecipitationFlag:**

03/30/2005 11:47:19 - Added field (Zhien Wang)

04/19/2005 17:07:25 - variable name is changed (Zhien Wang)

04/19/2005 17:23:22 - change variable name (Zhien Wang)

04/19/2005 17:29:33 - variable name changed (Zhien Wang)

04/25/2005 17:26:23 - increase cloud layer range (Zhien Wang)

07/28/2008 16:26:17 - Change cloud layer dimiension (Zhien Wang)

#### **Phase\_log:**

12/16/2010 09:42:45 - Added field (Zhien Wang)

12/16/2010 09:48:26 - Change type from int(1) to int(4) (Zhien Wang)

12/16/2010 12:06:26 - add more information (Zhien Wang)

12/16/2010 13:34:36 - chnag missing values (Zhien Wang)

12/16/2010 13:39:27 - (Zhien Wang)

12/16/2010 13:42:30 - (Zhien Wang)

#### Water\_layer\_top:

04/04/2011 11:12:51 - Added field (Zhien Wang)