

# CloudSat Project

A NASA Earth System Science Pathfinder Mission

## **CloudSat ECMWF-AUX Auxiliary Data Product Process Description and Interface Control Document**

Product Version: P1\_R05

Document Revision: 0

Date: 7 October 2022

Questions concerning the document and proposed changes shall be addressed to

Philip Partain  
[philip.partain@colostate.edu](mailto:philip.partain@colostate.edu)

## Document Revision History

<b>Date</b>	<b>Revision</b>	<b>Description</b>	<b>Section(s) Affected</b>
October 2022	0	Initial Release	All

## Table of Contents

<b>1 Introduction</b>	<b>4</b>
<b>2 Description of the Interpolation Process</b>	<b>4</b>
<b>3 Algorithm Inputs</b>	<b>5</b>
3.1 1B-CPR Specifications	6
3.2 AN-ECMWF Specifications	7
<b>4 Data Product Output Specifications</b>	<b>10</b>
<b>5 Caveats for Users</b>	<b>14</b>
<b>6 Changes Since Algorithm Version P_R05</b>	<b>15</b>
<b>7 Acronym List</b>	<b>16</b>

## **1 Introduction**

The ECMWF-AUX data set is an intermediate product that contains the set of ancillary ECMWF atmospheric state variable data interpolated to each CloudSat cloud profiling radar (CPR) bin. These data are required for input to the LIDAR-AUX, 2B-GEOPROF, 2B-CLDCLASS, 2B-CLDCLASS-LIDAR, 2B-CWC-RO, 2B-CWC-RVOD, 2B-TAU, 2B-FLXHR, 2B-FLXHR-LIDAR, 2C-PRECIP-COLUMN, 2C-RAIN-PROFILE, 2C-SNOW-PROFILE, and 2C-ICE algorithms. The ECMWF-AUX product is created using a spatial (vertical and horizontal) and temporal interpolation scheme. The input data are obtained from the AN-ECMWF dataset provided by the European Center for Medium-Range Weather Forecasts. This document describes the interpolation scheme and the format of the ECMWF-AUX product.

## **2 Description of the Interpolation Process**

The AN-ECMWF dataset provided by the European Center for Medium-Range Weather Forecasts contains 3-hourly forecast atmospheric state variable data on a half-degree Cartesian latitude and longitude grid. Operating one CloudSat ray at a time, using geolocation data from the CloudSat 1B-CPR product as the reference, the interpolation algorithm first finds the four bounding AN-ECMWF grid points around the CloudSat ray. For three-dimensional atmospheric state variables, the height of each 1B-CPR radar bin is used to find the two adjacent AN-ECMWF vertical levels and a linear interpolation is performed to get a single data value for the given radar bin height at each of the bounding grid points. Then, a bilinear interpolation is used on the resulting four values to calculate a single value of each data field at the location of the CPR ray at each bin height. Note that for two-dimensional variables, the bilinear interpolation is performed only once at the surface. This procedure is replicated for each of the two forecast times that bound the profile time of the CPR ray. Finally, a temporal linear interpolation is performed on the values obtained at each forecast time, resulting in a single spatially and temporally interpolated value for each CPR ray location and radar bin height. A visual depiction of this procedure is shown in Figure 1.

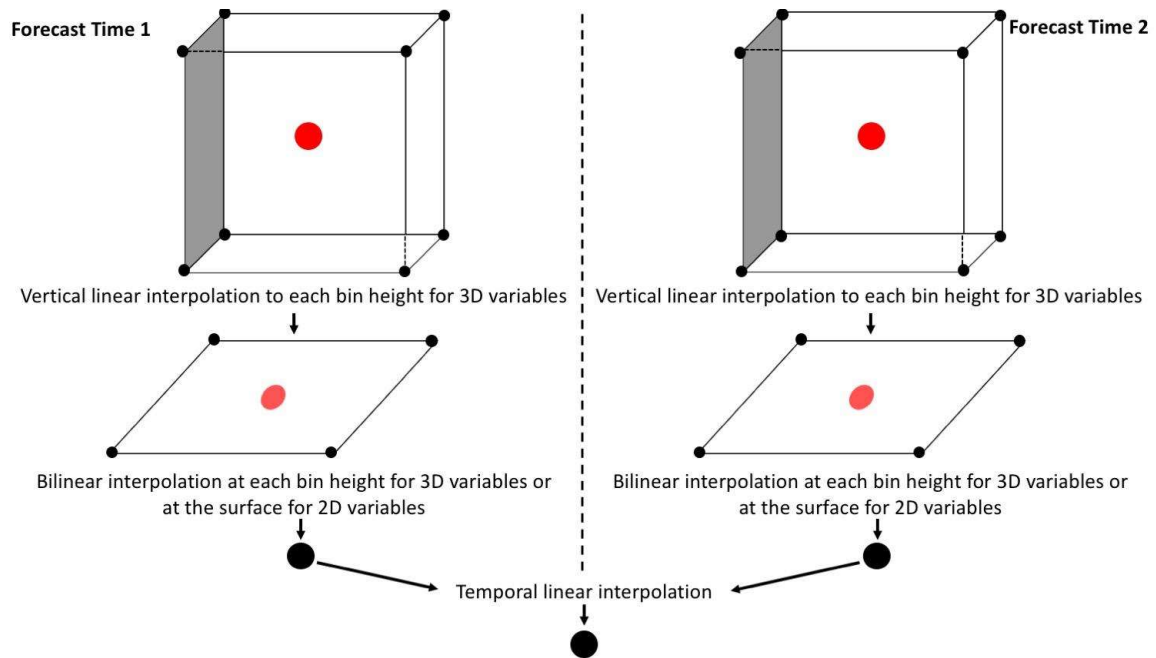


Figure 1. Interpolation procedure to calculate a single spatially and temporally interpolated AN-ECMWF data value for each CloudSat CPR ray and radar bin height.

When a CloudSat bin occurs below the lowest vertical bin of one or more of the surrounding AN-ECMWF grid points, the AN-ECMWF data at those grid points are extrapolated to the level of the CloudSat bin with a data field-dependent methodology. Ozone, wind data, and specific humidity are kept constant at their lowest ECMWF bin value, temperature is increased at a lapse rate of 6.5 K/km, and pressure is increased using the hypsometric equation.

### 3 Algorithm Inputs

Input data for the ECMWF-AUX algorithm includes CloudSat 1B-CPR and AN-ECMWF data sets. Each 1B-CPR data file contains data for one orbit of the CloudSat spacecraft. The AN-ECMWF data arrives via ftp at the DPC and is stored in GRIB format. The files contain the atmospheric state variable data on a half-degree Cartesian grid covering the globe for three hour forecast times.

### 3.1 1B-CPR Specifications

Fields available in the 1B-CPR P\_R05 data set used by this algorithm include

**(1) Seconds since the start of the granule.**

<b>Name in file:</b> Profile_time	<b>Range:</b> 0 to 6000
<b>Source:</b> 1B-CPR P_R05	<b>Missing value:</b> N/A
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> N/A
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1
<b>Dimensions:</b> nray	<b>Offset:</b> 0
<b>Units:</b> seconds	

Seconds since the start of the granule for each profile. The first profile is 0.

**(2) UTC seconds since 00:00 Z of the first profile.**

<b>Name in file:</b> UTC_start	<b>Range:</b> 0 to 86400
<b>Source:</b> 1B-CPR P_R05	<b>Missing value:</b> N/A
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> N/A
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1
<b>Dimensions:</b> <scalar>	<b>Offset:</b> 0
<b>Units:</b> seconds	

The UTC seconds since 00:00 Z of the first profile in the data file.

**(3) Spacecraft Latitude**

<b>Name in file:</b> Latitude	<b>Range:</b> -90 to 90
<b>Source:</b> 1B-CPR P_R05	<b>Missing value:</b> -999
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> ==
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1
<b>Dimensions:</b> nray	<b>Offset:</b> 0
<b>Units:</b> degrees	

Spacecraft Geodetic Latitude.

**(4) Spacecraft Longitude**

<b>Name in file:</b> Longitude	<b>Range:</b> -180 to 180
<b>Source:</b> 1B-CPR P_R05	<b>Missing value:</b> -999
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> ==
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1
<b>Dimensions:</b> nray	<b>Offset:</b> 0
<b>Units:</b> degrees	

Spacecraft geodetic longitude.

**(5) Ray status range bin size**

**Name in file:** RayHeader\_RangeBinSize **Range:** 239.8 to 239.8  
**Source:** 1B-CPR P\_R05 **Missing value:** -9999  
**Field type (in file):** REAL(4) **Missing value operator:** ==  
**Field type (in algorithm):** REAL(4) **Factor:** 1  
**Dimensions:** <scalar> **Offset:** 0  
**Units:** meters

Spacing between samples in range in meters.

**(6) Digital Elevation Map**

**Name in file:** DEM\_elevation **Range:** -9999 to 8850  
**Source:** 1B-CPR P\_R05 **Missing value:** 9999  
**Field type (in file):** INT(2) **Missing value operator:** ==  
**Field type (in algorithm):** INT(2) **Factor:** 1  
**Dimensions:** nray **Offset:** 0  
**Units:** meters

Elevation in meters above Mean Sea Level. A value of -9999 indicates ocean. A value of 9999 indicates an error in calculation of the elevation.

**3.2 AN-ECMWF Specifications**

The AN-ECMWF data set provided by ECMWF is derived from the GOPER high-resolution forecast (atmosphere) model. The GRIB format files contain the following fields for creation of the ECMWF-AUX product and use by the Level 2 algorithms:

**(1) Temperature**

**Name in file:** Temperature  
**Source:** AN-ECMWF **Missing value:** N/A  
**Field type (in file):** REAL(4) **Missing value operator:** N/A  
**Field type (in algorithm):** REAL(4) **Factor:** 1  
**Dimensions:** nlon,nlat,nlev **Offset:** 0  
**Units:** K

**(2) Specific humidity**

**Name in file:** Specific humidity  
**Source:** AN-ECMWF **Missing value:** N/A  
**Field type (in file):** REAL(4) **Missing value operator:** N/A  
**Field type (in algorithm):** REAL(4) **Factor:** 1  
**Dimensions:** nlon,nlat,nlev **Offset:** 0  
**Units:** kg/kg

**(3) Ozone mass mixing ratio**

**Name in file:** Ozone mass mixing ratio

**Source:** AN-ECMWF

**Field type (in file):** REAL(4)

**Field type (in algorithm):** REAL(4)

**Dimensions:** nlon,nlat,nlev

**Units:** kg/kg

**Missing value:** N/A

**Missing value operator:** N/A

**Factor:** 1

**Offset:** 0

**(4) Surface pressure**

**Name in file:** Surface pressure

**Source:** AN-ECMWF

**Field type (in file):** REAL(4)

**Field type (in algorithm):** REAL(4)

**Dimensions:** nlon,nlat

**Units:** Pa

**Missing value:** N/A

**Missing value operator:** N/A

**Factor:** 1

**Offset:** 0

**(5) Skin temperature**

**Name in file:** Skin temperature

**Source:** AN-ECMWF

**Field type (in file):** REAL(4)

**Field type (in algorithm):** REAL(4)

**Dimensions:** nlon,nlat

**Units:** K

**Missing value:** N/A

**Missing value operator:** N/A

**Factor:** 1

**Offset:** 0

**(6) Two-meter temperature**

**Name in file:** 2 metre temperature

**Source:** AN-ECMWF

**Field type (in file):** REAL(4)

**Field type (in algorithm):** REAL(4)

**Dimensions:** nlon,nlat

**Units:** K

**Missing value:** N/A

**Missing value operator:** N/A

**Factor:** 1

**Offset:** 0

**(7) U component of wind**

**Name in file:** U component of wind

**Source:** AN-ECMWF

**Field type (in file):** REAL(4)

**Field type (in algorithm):** REAL(4)

**Dimensions:** nlon,nlat,nlev

**Units:** m/s

**Missing value:** N/A

**Missing value operator:** N/A

**Factor:** 1

**Offset:** 0



**(8) V component of wind****Name in file:** V component of wind**Source:** AN-ECMWF**Field type (in file):** REAL(4)**Field type (in algorithm):** REAL(4)**Dimensions:** nlon,nlat,nlev**Units:** m/s**Missing value:** N/A**Missing value operator:** N/A**Factor:** 1**Offset:** 0**(9) Sea surface temperature****Name in file:** Sea surface temperature**Source:** AN-ECMWF**Field type (in file):** REAL(4)**Field type (in algorithm):** REAL(4)**Dimensions:** nlon,nlat**Units:** K**Missing value:** N/A**Missing value operator:** N/A**Factor:** 1**Offset:** 0**(10) Ten-meter U component of wind****Name in file:** 10 metre U wind component**Source:** AN-ECMWF**Field type (in file):** REAL(4)**Field type (in algorithm):** REAL(4)**Dimensions:** nlon,nlat**Units:** m/s**Missing value:** N/A**Missing value operator:** N/A**Factor:** 1**Offset:** 0**(11) Ten-meter V component of wind****Name in file:** 10 metre V wind component**Source:** AN-ECMWF**Field type (in file):** REAL(4)**Field type (in algorithm):** REAL(4)**Dimensions:** nlon,nlat**Units:** m/s**Missing value:** N/A**Missing value operator:** N/A**Factor:** 1**Offset:** 0

The three-dimensional pressure data are constructed for each model level using Equation 1.

$$p_k = \frac{\left(A_{k-\frac{1}{2}} + B_{k-\frac{1}{2}} p_{sfc}\right) + \left(A_{k+\frac{1}{2}} + B_{k+\frac{1}{2}} p_{sfc}\right)}{2} \quad (1)$$

where  $k$  is the model level,  $A_{k\pm\frac{1}{2}}$  and  $B_{k\pm\frac{1}{2}}$  are constants stored in the GRIB header that define the vertical coordinate of the “half levels” above and below each model level, and  $p_{sfc}$  is the surface pressure.

#### 4 Data Product Output Specifications

Each HDF-EOS 4 product file is built for the orbit specified by the input 1B-CPR data. Within each file, the Geolocation Fields contain the CloudSat ray geolocation, time, and surface elevation from the 1B-CPR file along with the idealized height of the ECMWF data bins. The two-dimensional Data Fields contain top-down profiles of the ECMWF atmospheric state variables for each CPR ray and the one-dimensional Data Fields similarly contain the state variables for the surface. The specifications for the ECMWF-AUX P1\_R05 file contents are as follows:

##### (1) Seconds since the start of the granule.

<b>Name in file:</b> Profile_time	<b>Range:</b> 0 to 6000
<b>Source:</b> 1B-CPR P_R05	<b>Missing value:</b> N/A
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> N/A
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1
<b>Dimensions:</b> nray	<b>Offset:</b> 0
<b>Units:</b> seconds	

Seconds since the start of the granule for each profile. The first profile is 0.

##### (2) UTC seconds since 00:00 Z of the first profile

<b>Name in file:</b> UTC_start	<b>Range:</b> 0 to 86400
<b>Source:</b> 1B-CPR P_R05	<b>Missing value:</b> N/A
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> N/A
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1
<b>Dimensions:</b> <scalar>	<b>Offset:</b> 0
<b>Units:</b> seconds	

The UTC seconds since 00:00 Z of the first profile in the data file.

##### (3) TAI time for the first profile.

<b>Name in file:</b> TAI_start	<b>Range:</b> 0 to 6e+008
<b>Source:</b> 1B-CPR P_R05	<b>Missing value:</b> N/A
<b>Field type (in file):</b> REAL(8)	<b>Missing value operator:</b> N/A
<b>Field type (in algorithm):</b> REAL(8)	<b>Factor:</b> 1
<b>Dimensions:</b> <scalar>	<b>Offset:</b> 0
<b>Units:</b> seconds	

The TAI timestamp for the first profile in the data file. TAI is International Atomic Time: seconds since 00:00:00 Jan 1 1993.



**(9) ECMWF data extrapolation flag**

<b>Name in file:</b> Extrapolation_flag	<b>Range:</b> N/A
<b>Source:</b> ECMWF-AUX P1_R05	<b>Missing value:</b> N/A
<b>Field type (in file):</b> INT(1)	<b>Missing value operator:</b> N/A
<b>Field type (in algorithm):</b> INT(1)	<b>Factor:</b> 1
<b>Dimensions:</b> nbin,nray	<b>Offset:</b> 0
<b>Units:</b> N/A	

The extrapolation flag is a bit field that indicates areas where ECMWF data are extrapolated to fill in CPR bins that occur below the lowest ECMWF layers between grid points.

Bit 0: CPR bin below ground  
Bit 1: Data from Northeast grid point missing  
Bit 2: Data from Northwest grid point missing  
Bit 3: Data from Southwest grid point missing  
Bit 4: Data from Southeast grid point missing

**(10) Atmospheric pressure**

<b>Name in file:</b> Pressure	
<b>Source:</b> ECMWF-AUX P1_R05	<b>Missing value:</b> -999
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> ==
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1
<b>Dimensions:</b> nbin,nray	<b>Offset:</b> 0
<b>Units:</b> Pa	

**(11) Temperature**

<b>Name in file:</b> Temperature	
<b>Source:</b> ECMWF-AUX P1_R05	<b>Missing value:</b> -999
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> ==
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1
<b>Dimensions:</b> nbin,nray	<b>Offset:</b> 0
<b>Units:</b> K	

**(12) Specific humidity**

<b>Name in file:</b> Specific_humidity	
<b>Source:</b> ECMWF-AUX P1_R05	<b>Missing value:</b> -999
<b>Field type (in file):</b> REAL(4)	<b>Missing value operator:</b> ==
<b>Field type (in algorithm):</b> REAL(4)	<b>Factor:</b> 1

**Dimensions:** nbin,nray  
**Units:** kg/kg

**Offset:** 0

**(13) Ozone mass mixing ratio**

**Name in file:** Ozone  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)  
**Dimensions:** nbin,nray  
**Units:** kg/kg

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1  
**Offset:** 0

**(14) Surface pressure**

**Name in file:** Surface\_pressure  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)  
**Dimensions:** nray  
**Units:** Pa

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1  
**Offset:** 0

**(15) Skin temperature**

**Name in file:** Skin\_temperature  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)  
**Dimensions:** nray  
**Units:** K

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1  
**Offset:** 0

**(16) Two-meter temperature**

**Name in file:** Temperature\_2m  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)  
**Dimensions:** nray  
**Units:** K

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1  
**Offset:** 0

**(17) U component of wind**

**Name in file:** U\_velocity  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1

**Dimensions:** nbin,nray  
**Units:** m/s

**Offset:** 0

**(18) V component of wind**

**Name in file:** V\_velocity  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)  
**Dimensions:** nbin,nray  
**Units:** m/s

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1  
**Offset:** 0

**(19) Sea surface temperature**

**Name in file:** Sea\_surface\_temperature  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)  
**Dimensions:** nray  
**Units:** K

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1  
**Offset:** 0

**(20) Ten-meter U component of wind**

**Name in file:** U10\_velocity  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)  
**Dimensions:** nray  
**Units:** m/s

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1  
**Offset:** 0

**(21) Ten-meter V component of wind**

**Name in file:** V10\_velocity  
**Source:** ECMWF-AUX P1\_R05  
**Field type (in file):** REAL(4)  
**Field type (in algorithm):** REAL(4)  
**Dimensions:** nray  
**Units:** m/s

**Missing value:** -999  
**Missing value operator:** ==  
**Factor:** 1  
**Offset:** 0

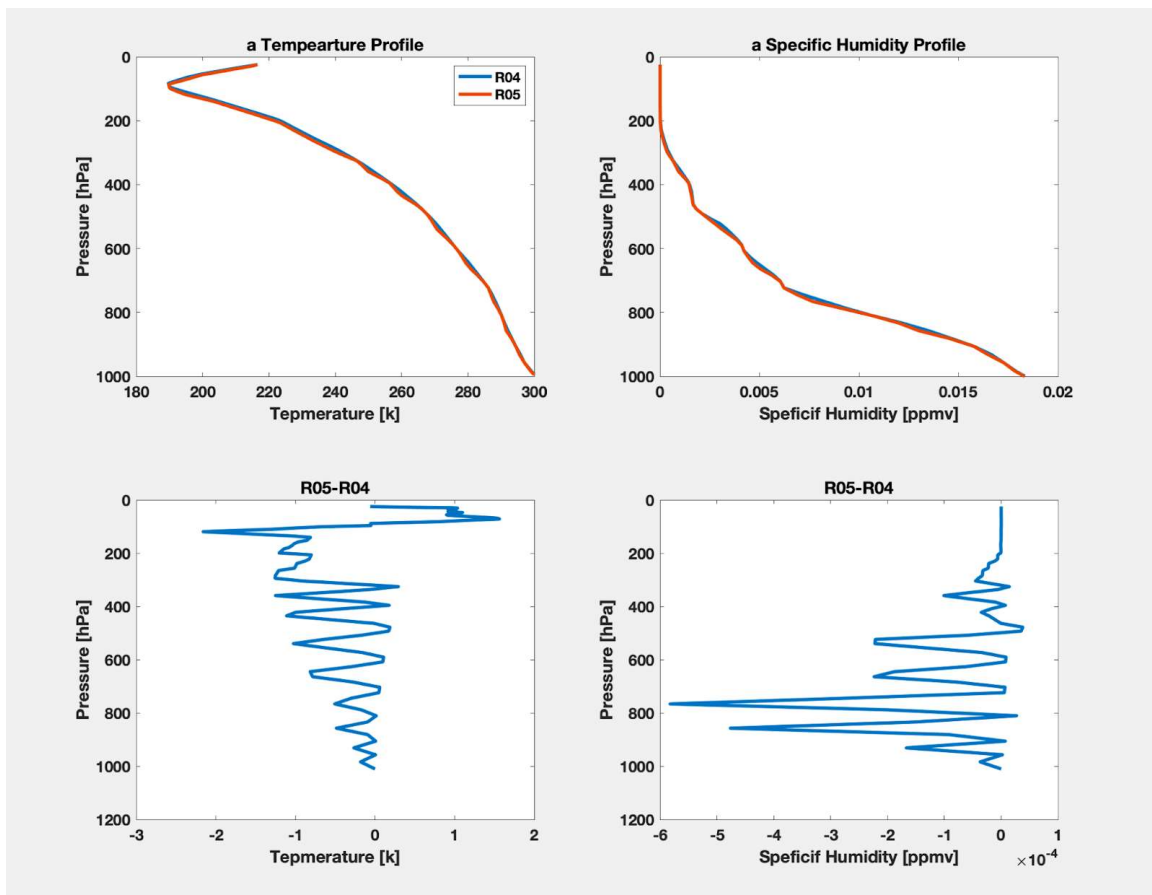
## 5 Caveats for Users

The ECMWF-AUX team has identified the following caveats that users should be aware of:

- The sea surface temperature (SST) field is not suitable for scientific analysis near coastlines. Non-existent ECMWF SST values on land prevent spatial bilinear interpolation near the coast and therefore the ECMWF-AUX product contains missing values (-999.0) in this area.

## 6 Changes Since Algorithm Version P\_R05

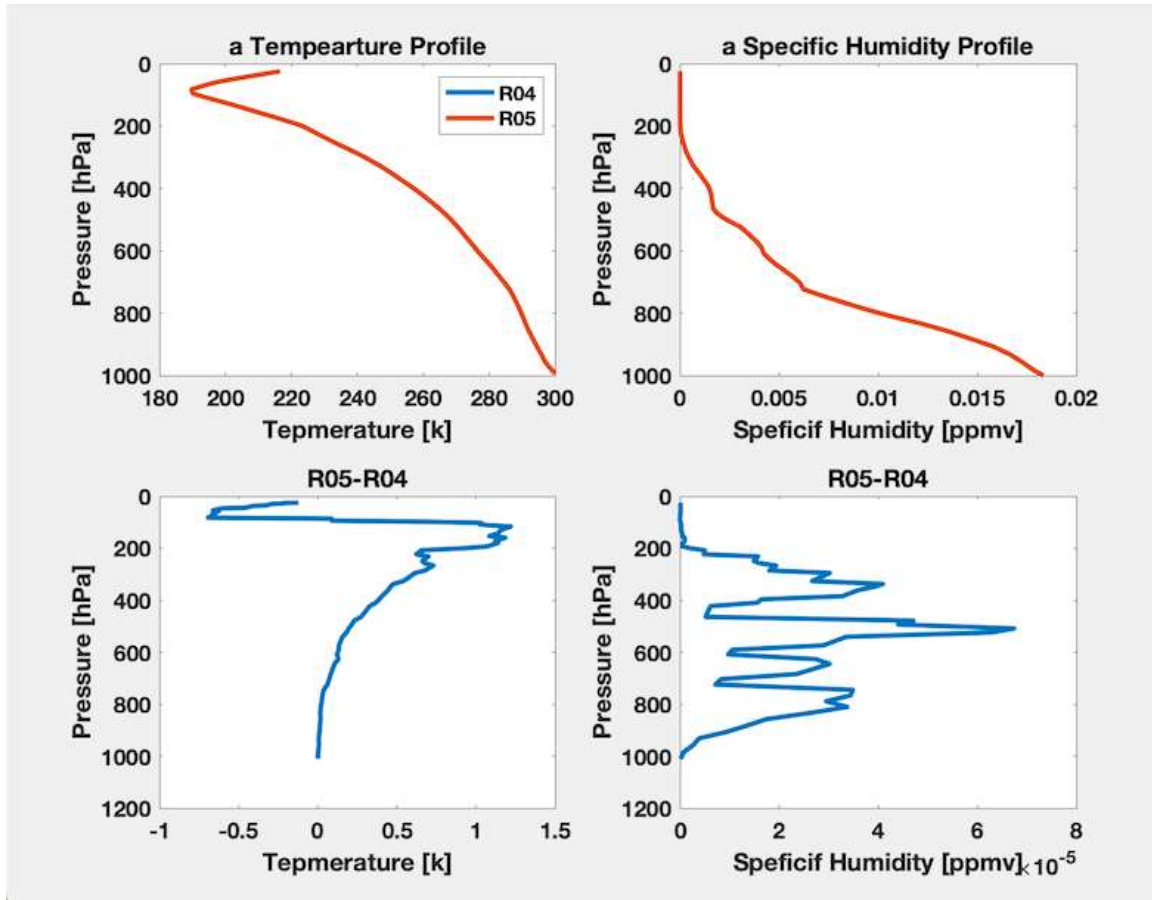
The calculation of the ECMWF pressure profile was corrected to fix a bug in the P\_R05 code that caused small errors during the interpolation to the CloudSat bin heights when compared to P\_R04 results. This resulted in “wobbles” affecting the vertical profile of every variable when plotted against pressure (see Figure 2).



*Courtesy Hanii Takahashi (JPL)*

Figure 2. Comparison of P\_R04 and P\_R05 vertical profiles of Temperature and Specific Humidity showing the impact of the pressure interpolation error.

Fixing the error results in values shown in Figure 3 (note the scale change in the difference plots, particularly for Specific Humidity).



*Courtesy Hanii Takahashi (JPL)*

Figure 3. Comparison of P\_R04 and P\_R05 vertical profiles of Temperature and Specific Humidity after correcting the pressure interpolation.

## 7 Acronym List

AGL	Above Ground Level
CPR	Cloud Profiling Radar
DPC	Data Processing Center
ECMWF	European Center for Medium-Range Weather Forecasts
EOS	Earth Observing System
GRIB	Gridded Binary Data Format
HDF	Hierarchical Data Format
MSL	Mean Sea Level